Package 'carat'

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Description
Provides functions and command-line user interface to generate allocation sequence by covariate-adaptive randomization for clinical trials. The package currently supports six covariate-adaptive randomization procedures. Three hypothesis testing methods that are valid and robust under covariate-adaptive randomization are also available in the package to facilitate the inference for treatment effect under the included randomization procedures. Additionally, the package provides comprehensive and efficient tools to allow one to evaluate and compare the performance of randomization procedures and tests based on various criteria.

License GPL (>= 2)

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carat-package   *carat-package: Covariate-Adaptive Randomization for Clinical Trials*

Description

Provides functions and a command-line user interface to generate allocation sequences for clinical
trials with covariate-adaptive randomization methods. It currently supports six different covariate-
adaptive randomization procedures, including stratified randomization, minimization, and a general
family of designs proposed by Hu and Hu (2012) <doi:10.1214/12-AOS983>. Three hypothesis
testing methods, all valid and robust under covariate-adaptive randomization are also included in
the package to facilitate the inference for treatment effects under the included randomization pro-
cedures. Additionally, the package provides comprehensive and efficient tools for the performance
evaluation and comparison of randomization procedures and tests based on various criteria.

Acknowledgement

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Zelen M. *The randomization and stratification of patients to clinical trials*[J]. Journal of chronic diseases, 1974, 27(7): 365-375. <doi:10.1016/0021-9681(74)90015-0>

AdjBCD *Covariate-adjusted Biased Coin Design*

Description

Allocates patients to one of two treatments based on covariate-adjusted biased coin design as proposed by Baldi Antognini A, Zagoraiou M (2011) <Doi:10.1093/biomet/asr021>.

Usage

```
## S3 method for class 'carandom'
AdjBCD(data, a = 2)
```

Arguments

data
: a dataframe. A row of the dataframe contains the covariate profile of a certain patient.

a
: a design parameter. The default is 2. As a goes to ∞, the design becomes more deterministic.

Details

Consider I covaraites and m_i levels for the ith covariate. T_j is the assignment of the jth patient and $Z_j = (k_1, \ldots, k_I)$ indicates the covariate profile of the jth patient. For convenience, $(k_1, \ldots, k_I) and (i; k_i)$ denote stratum and margin respectively. $D_n(.)$ is the difference between numbers of assigned patients in treatment 1 and treatment 2 at the corresponding level after n patients have been assigned.

Let F^a be a decreasing and symmetric function of $D_n(.)$, which depends on a design parameter $a \geq 0$. Then the probability of allocating the $(n+1)$th patient to treatment 1 is $F^a(D_n(.))$, where

$$F^a(x) = \frac{|x|^a}{|a|^a + 1},$$

for $x \leq -1$,

$$F^a(x) = 1/2,$$

for $x = 0$, and

$$F^a(x) = \frac{1}{|x|^a + 1},$$

for $x \geq 1$. As a goes to ∞, the design becomes more deteministic.

Details of the procedure can be found in Baldi Antognini and M. Zagoraiou (2011).

Value

It returns an object of class "carandom".

The function print is used to obtain results. The generic accessor functions Cov_Assig, Diff, data, All strata and others extract various useful features of the value returned by AdjBCD.

An object of class "carandom" is a list containing at least the following components:

cov_num
: number of covariates.

n
: number of patients.

Cov_Assign
: a (cov_num + 1) * n matrix containing covariate profiles for all patients and the corresponding assignments. The ith column represents the ith patient. The first cov_num rows include patients' covariate profiles, and the last row contains the assignment.

All strata
: a matrix containing all strata involved.

Diff
: a matrix with only one column. There are final differences at the overall, within-stratum, and marginal levels.

Data Type
: data type. Real or Simulated.

References

Baldi Antognini A, Zagoraiou M. *The covariate-adaptive biased coin design for balancing clinical trials in the presence of prognostic factors*[J]. Biometrika, 2011, 98(3): 519-535.

See Also

See `AdjBCD.sim` for allocating patients with covariate data generating mechanism; See `AdjBCD.ui` for the command-line user interface.

Examples

```
# a simple use
## Real Data
## create a dataframe
df <- data.frame("gender" = sample(c("female", "male"), 1000, TRUE, c(1 / 3, 2 / 3)),
                 "age" = sample(c("0-30", "30-50", ">50"), 1000, TRUE),
                 "jobs" = sample(c("stu.", "teac.", "others"), 1000, TRUE),
                 stringsAsFactors = TRUE)
Res <- AdjBCD(df, a = 2)
## view the output
Res

  ## view all patients' profile and assignments
  Res$Cov_Assig


## Simulated Data
n <- 1000
cov_num <- 3
level_num <- c(2, 3, 5)
# Set pr to follow two tips:
#(1) length of pr should be sum(level_num);
#(2) sum of probabilities for each margin should be 1.
pr <- c(0.4, 0.6, 0.3, 0.4, 0.3, rep(0.2, times = 5))
# set the design parameter
a <- 1.8
# obtain result
Res.sim <- AdjBCD.sim(n, cov_num, level_num, pr, a)

  # view the assignments of patients
  Res.sim$Cov_Assig[cov_num + 1, ]
  # view the differences between treatment 1 and treatment 2 at all levels
  Res.sim$Diff
```

AdjBCD.sim   *Covariate-adjusted Biased Coin Design with Covariate Data Generating Mechanism*

Description

Allocates patients to one of two treatments based on the covariate-adjusted biased coin design as proposed by Baldi Antognini A, Zagoraiou M (2011) <Doi:10.1093/biomet/asr021>, by simulating the covariates-profile under the assumption of independence between covariates and levels within each covariate.

Usage

```
## S3 method for class 'carandom'
AdjBCD.sim(n = 1000, cov_num = 2, level_num = c(2, 2),
           pr = rep(0.5, 4), a = 2)
```

Arguments

n	the number of patients. The default is 1000.
cov_num	the number of covariates. The default if 2.
level_num	a vector of level numbers for each covariate. Hence the length of `level_num` should be equal to the number of covariates. The default is `c(2,2)`.
pr	a vector of probabilities. Under the assumption of independence between covariates, `pr` is a vector containing probabilities for each levels of each covariates. The length of `pr` should correspond to the number of all levels, and the vector sum of `pr` should be equal to `cov_num`. The default is `pr = rep(0.5,4)`, which implies that `cov_num = 2`, and `level_num = c(2,2)`.
a	a design parameter. The default is 2. As `a` goes to ∞, the design becomes more deterministic.

Details

See `AdjBCD`.

Value

See `AdjBCD`.

See Also

See `AdjBCD` for allocating patients with complete covariate data; See `AdjBCD.ui` for the command-line user interface.

AdjBCD.ui	*Command-line User Interface Using Covariate-adjusted Biased Coin Design*

Description

A call to the user-interface function for allocation of patients to one of two treatments, using covariate-adjusted biased coin design, as proposed by Baldi Antognini A, Zagoraiou M (2011) <Doi:10.1093/biomet/asr021>.

Usage

```
## S3 method for class 'carseq'
AdjBCD.ui(path, folder = "AdjBCD")
```

Arguments

path	the path in which a folder used to store variables will be created.
folder	name of the folder. If it is the default, a folder named "AdjBCD" will be created.

Details

See AdjBCD.

Value

It returns an object of class "carseq".

The function print is used to obtain results. The generic accessor functions assignment, covariate, cov_num, cov_profile and others extract various useful features of the value returned by AdjBCD.ui.

Note

This function provides a command-line user interface, and users should follow the prompts to enter data including covariates as well as levels for each covariate, design parameter a and the covariate profile of the new patient.

See Also

See AdjBCD for allocating patients with complete covariate data; See AdjBCD.sim for allocating patients with covariate data generating mechanism.

---

boot.test   *Bootstrap t-test*

Description

Performs bootstrap t-test on treatment effects. This test is proposed by Shao et al. (2010) <doi:10.1093/biomet/asq014>.

Usage

```
boot.test(data, B = 200, method = HuHuCAR, conf = 0.95, ...)
```

Arguments

data	a dataframe. It consists of patients' profiles, treatment assignments and outputs. See getData.
B	an integer. It indicates the number of bootstrap samples. The default is 200.
method	the method of randomization to be used in allocating patients. The default randomization method HuHuCAR uses Hu and Hu's general covariate-adaptive randomization; the alternatives are PocSimMIN, StrBCD, StrPBR, DoptBCD, and AdjBCD.
conf	confidence level of the interval. The default is 0.95.
...	arguments to be passed to methods. These depend on the method used and the following arguments are accepted:

> **omega** a vector of weights at the overall, within-stratum, and maginal levels. It is required that at least one element is larger than 0. Note that omega is only needed when HuHuCAR is to be used.

> **weight** a vector of weights for marginal imbalances. It is required that at least one element is larger than 0. Note that weight is only needed when PocSimMIN is to be used.

> **p** the probabillty of assigning one patient to treatment 1. p should be larger than 1/2 to obtain balance. Note that p is only needed when "HuHuCAR", "PocSimMIN" and "StrBCD" are to be used.

> **a** a design parameter. As a goes to ∞, the design becomes more deterministic.

> **bsize** the block size for stratified randomization. It is required to be a multiple of 2. Note that bsize is only needed when "StrPBR" is to be used.

Details

The bootstrap t-test is described as follows:

1) Generate bootstrap data $(Y_1^*, Z_1^*), \ldots, (Y_n^*, Z_n^*)$ as a simple random sample with replacement from the original data $(Y_1, Z_1), \ldots, (Y_n, Z_n)$, where Y_i denotes the outcome and Z_i denotes the profile of the ith patient.

2) Perform covariate-adaptive procedures on the patients' profiles to obtain new treatment assignments $T_1^*, \ldots, T_n^*$, and define

$$\hat{\theta}^* = -\frac{1}{n_1^*} \sum_{i=1}^{n} (T_i^* - 2) \times Y_i^* - \frac{1}{n_0^*} \sum_{i=1}^{n} (T_i^* - 1) \times Y_i$$

where n_1^* is the number of patients assigned to treatment 1 and n_0^* is the number of patients assigned to treatment 2.

3) Repeat step 2 B times to generate B independent boostrap samples to obtain $\hat{\theta}_b^*$, $b = 1, \ldots, B$. The variance of $\bar{Y}_1 - \bar{Y}_0$ can then be approximated by the sample variance of $\hat{\theta}_b^*$.

Value

It returns an object of class `"htest"`.

The function `print` is used to obtain results. The generic accessor functions `statistic`, `p.value`, `conf.int` and others extract various useful features of the value returned by `boot.test`.

An object of class `"htest"` is a list containing at least the following components:

data.name	a character string giving the name(s) of the data.
statistic	the value of the t-statistic.
pval	the p-value of the test,the null hypothesis is rejected if p-value is less than the pre-determined significance level.
conf.int	a confidence interval under the chosen level `conf` for the difference in treatment effect between treatment 1 and treatment 2.
estimate	the estimated treatment effect difference between treatment 1 and treatment 2.
method	a character string indicating what type of test was performed.

References

Shao J, Yu X, Zhong B. *A theory for testing hypotheses under covariate-adaptive randomization*[J]. Biometrika, 2010, 97(2): 347-360.

Examples

```
#Suppose the data used is patients' profile from real world,
#  while it is generated here. Data needs to be preprocessed
#  and then get assignments following certain randomization.
set.seed(100)
df<- data.frame("gender" = sample(c("female", "male"), 100, TRUE, c(1 / 3, 2 / 3)),
                "age" = sample(c("0-30", "30-50", ">50"), 100, TRUE),
              "jobs" = sample(c("stu.", "teac.", "other"), 100, TRUE, c(0.4, 0.2, 0.4)),
                stringsAsFactors = TRUE)
##data preprocessing
data.pd <- StrPBR(data = df, bsize = 4)$Cov_Assig

#Then we need to combine patients' profiles and outcomes after randomization and treatments.
outcome = runif(100)
data.combined = data.frame(rbind(data.pd,outcome), stringsAsFactors = TRUE)
```

```
#run the bootstrap t-test
B = 200
Strbt = boot.test(data.combined, B, StrPBR, bsize = 4)
Strbt
```

---

compPower   *Comparison of Powers for Different Tests under Different Randomiza-*
                              tion methods

Description

Compares the power of tests under different randomization methods and treatment effects through matrices and plots.

Usage

```
compPower(powers, diffs, testname)
```

Arguments

powers	a list. Each argument consists the power generated by evalPower in this package or by other sources. The length of each argument must match.
diffs	a vector. It contains values of differences in treatment effects. The length of this argument and the length of each argument of powers must match.
testname	a vector. Each element is the name of test and randomization method used. For example, when applying rand.test under HuHuCAR and corr.test under HuHuCAR, it can be c('HH.rand','HH.corr'). The length of this argument must match the length of diffs.

Value

This function returns a list. The first element is a matrix consisting of powers of chosen tests under different values of treatment effects. The second element of the list is a plot of powers. diffs forms the vertical axis of the plot.

Examples

```
##settings
set.seed(100)
n = 1000
cov_num = 5
level_num = c(2,2,2,2,2)
pr = rep(0.5,10)
beta = c(1,4,3,2,5)
di = seq(0,0.5,0.1)
sigma = 1
type = "linear"
```

```
p=0.85
Iternum = 10 #<<for demonstration,it is suggested to be around 1000
sl = 0.05
weight = rep(0.1,5)

#comparison of corrected t-test under StrBCD and PocSim
##data generation
library("ggplot2")
Strctp=evalPower(n,cov_num,level_num,pr,type,beta,di,
                sigma,Iternum,sl,StrBCD,corr.test,FALSE,p)
PSctp=evalPower(n,cov_num,level_num,pr,type,beta,di,sigma,
                Iternum,sl,PocSimMIN,corr.test,FALSE,weight,p)
powers = list(Strctp,PSctp)
testname = c("StrBCD.corr","PocSimMIN.corr")

#get plot and matrix for comparison
cp = compPower(powers,di,testname)
cp
```

---

compRand   *Compare Different Randomization Procedures via Tables and Plots*

Description

Compares randomization procedures based on several different quantities of imbalances. Among all included randomization procedures of class "careval", two or more procedures can be compared in this function.

Usage

```
## S3 method for class 'carcomp'
compRand(...)
```

Arguments

...   objects of class "careval".

Details

The primary goal of using covariate-adaptive randomization in practice is to achieve balance with respect to the key covariates and to the overall treatment assignments. We choose four rules to measure the absolute imbalances at overall, marginal and withinn-stratum levels, which are maximal, 95%quantile, median and mean of the absolute imbalances at different aspects.

(1) Maximal
$$\max_{i=1,\ldots,n} |D_n(\cdot)|.$$

(2) 95% quantile
$$|D_{\lceil 0.95n \rceil}(\cdot)|.$$

(3) Median

$$(|D_n(\cdot)|) = |D_{(n+1)/2}(\cdot)|$$

for n is odd;

$$(|D_n(\cdot)|) = \frac{1}{2}(|D_{(n/2)}(\cdot)| + |D_{(n/2+1)}(\cdot)|)$$

for n is even.

(4) Mean

$$\frac{1}{n}\sum_{j=1}^{n}|D_j(\cdot)|.$$

The Monte Carlo method is used to calculate the four types of imbalances.

Value

It returns an object of [class](#) "carcomp".

The function [print](#) is used to obtain results. The generic accessor functions `Assig`, `Diff`, `data`, `All strata` and others extract various useful features of the value returned by `compRand`.

An object of class "carcomp" is a list containing at least the following components:

`Overall Imbalances`
a matrix containing maximum, 95%-quantile, median, mean, and loss of absolute overall imbalances for all the input methods.

`Marginal Imbalances`
a matrix containing maximum, 95%-quantile, median, mean, and loss of absolute marginal imbalances for all the input methods.

`Within-stratum Imbalances`
a matrix containing maximum, 95%-quantile, median, mean, loss of absolute imbalances, and also containing mean absolute imbalances of the strata with i patients falling in, where $i = 1, \ldots, bsize$ for all the input methods.

References

Atkinson A C. *Optimum biased coin designs for sequential clinical trials with prognostic factors*[J]. Biometrika, 1982, 69(1): 61-67.

Baldi Antognini A, Zagoraiou M. *The covariate-adaptive biased coin design for balancing clinical trials in the presence of prognostic factors*[J]. Biometrika, 2011, 98(3): 519-535.

Hu Y, Hu F. *Asymptotic properties of covariate-adaptive randomization*[J]. The Annals of Statistics, 2012, 40(3): 1794-1815.

Pocock S J, Simon R. *Sequential treatment assignment with balancing for prognostic factors in the controlled clinical trial*[J]. Biometrics, 1975: 103-115.

Shao J, Yu X, Zhong B. *A theory for testing hypotheses under covariate-adaptive randomization*[J]. Biometrika, 2010, 97(2): 347-360.

Zelen M. *The randomization and stratification of patients to clinical trials*[J]. Journal of chronic diseases, 1974, 27(7): 365-375.

See Also

See evalRand or evalRand.sim to evaluate a specific randomization procedure.

Examples

```
## Compare stratified permuted block randomization and Hu and Hu's general CAR
cov_num <- 2
level_num <- c(2, 2)
pr <- rep(0.5, 4)
n <- 500
N <- 20 # <<adjust according to CPU
bsize <- 4
# set weight for Hu and Hu's method, it satisfies
# (1)Length should equal to cov_num
omega <- c(1, 2, 1, 1)
# Assess Hu and Hu's general CAR
Obj1 <- evalRand.sim(n = n, N = N, Replace = FALSE, cov_num = cov_num,
                     level_num = level_num, pr = pr, method = "HuHuCAR",
                     omega, p = 0.85)
# Assess stratified permuted block randomization
Obj2 <- evalRand.sim(n = n, N = N, Replace = FALSE, cov_num = cov_num,
                     level_num = level_num, pr = pr, method = "StrPBR",
                     bsize)

RES <- compRand(Obj1, Obj2)
```

---

corr.test	*Corrected t-test*

Description

Performs corrected t-test on treatment effects. This test follows the idea of Ma et al. (2015) <doi:10.1080/01621459.2014.922469>.

Usage

```
corr.test(data, conf = 0.95)
```

Arguments

data a dataframe. It consists of patients' profiles, treatment assignments and outputs. See getData.

conf confidence level of the interval. The default is 0.95.

Details

When the working model is the true underlying linear model, and the chosen covariate-adaptive design achieves that the overall imbalance and marginal imbalances for all covariates are bounded in probability, we can derive the asymptotic distribution under the null distribution, where the treatment effect of each group is the same. Subsequently, we can replace the variance estimator in a simple two sample t-test with an adjusted variance estimator. Details can be found in Ma et al.(2015).

Value

It returns an object of class `"htest"`.

The function `print` is used to obtain results. The generic accessor functions `statistic`, `p.value`, `conf.int` and others extract various useful features of the value returned by `corr.test`.

An object of class `"htest"` is a list containing at least the following components:

`data.name`	a character string giving the name(s) of the data.
`statistic`	the value of the t-statistic.
`p.value`	the p-value of the test,the null hypothesis is rejected if p-value is less than `sl`.
`conf.int`	a confidence interval under chosen level `conf` for the difference in treatment effect between treatment 1 and treatment 2.
`estimate`	estimated treatment effect difference between treatment 1 and treatment 2.
`method`	a character string indicating what type of test was performed.

References

Ma W, Hu F, Zhang L. *Testing hypotheses of covariate-adaptive randomized clinical trials*[J]. Journal of the American Statistical Association, 2015, 110(510): 669-680.

Examples

```
##generate data
set.seed(100)
n = 1000
cov_num = 5
level_num = c(2,2,2,2,2)
pr = rep(0.5,10)
beta = c(0.1,0.4,0.3,0.2,0.5)
omega = c(0.1, 0.1, rep(0.8 / 5, times = 5))
mu1 = 0
mu2 = 0.7
sigma = 1
type = "linear"
p = 0.85

dataH = getData(n,cov_num,level_num,pr,type,beta,
                mu1,mu2,sigma,HuHuCAR,omega,p)

#run the corrected t-test
```

```
HHct=corr.test(dataH)
HHct
```

DoptBCD   *Atkinson's D_A-optimal Biased Coin Design*

Description

Allocates patients to one of two treatments based on the D_A-optimal biased coin design with in the presence of the prognostic factors proposed by Atkinson A C (1982) <Doi:10.2307/2335853>.

Usage

```
## S3 method for class 'carandom'
DoptBCD(data)
```

Arguments

data   a dataframe. A row of the dataframe contains the covariate profile of a patient.

Details

To minimize the loss associated with an experiment involving n patients, Atkinson's optimal applied D_A-optimality to the method, in which the probability of assigning the $(n+1)$th patient to treatment 1 in the presence of prognostic factors is

$$\frac{[1 - (1; \boldsymbol{x}_{n+1}^t)(\boldsymbol{F}_n^t \boldsymbol{F}_n)^{-1} \boldsymbol{b}_n]^2}{[1 - (1; \boldsymbol{x}_{n+1}^t)(\boldsymbol{F}_n^t \boldsymbol{F}_n)^{-1} \boldsymbol{b}_n]^2 + [1 + (1; \boldsymbol{x}_{n+1}^t)(\boldsymbol{F}_n^t \boldsymbol{F}_n)^{-1} \boldsymbol{b}_n]^2},$$

where $\boldsymbol{X} = (\boldsymbol{x_i}, i = 1, \ldots, n)$ and $\boldsymbol{x}_i = (x_{i1}, \ldots, x_{in})$ denote the covariate profile of the ith patient; and $\boldsymbol{F}_n = [\boldsymbol{1}_n; \boldsymbol{X}]$ is the information matrix; and $\boldsymbol{b}_n^T = (2\boldsymbol{T}_n - \boldsymbol{1}_n)^T \boldsymbol{F}_n, \boldsymbol{T}_n = (T_1, \ldots, T_n)$ is a sequence containing the first n patients' allocations.

Details of the procedure can be found in A.C.Atkinson (1982).

Value

It returns an object of [class](#) "carandom".

The function [print](#) is used to obtain results. The generic accessor functions Cov_Assig, Diff, data, All strata and others extract various useful features of the value returned by DoptBCD.

An object of class "carandom" is a list containing at least the following components:

cov_num   the number of covariates.

n   the number of patients.

Cov_Assign   a (cov_num + 1) * n matrix containing covariate profiles for all patients and the corresponding assignments. The ith column represents the ith patient. The first cov_num rows include patients' covariate profiles and the last row contains the assignment.

All strata	a matrix containing all strata involved.
Diff	a matrix with only one column. There are final differences at the overall, within-stratum, and marginal levels.
Data Type	the data type. Real or Simulated.

References

Atkinson A C. *Optimum biased coin designs for sequential clinical trials with prognostic factors*[J]. Biometrika, 1982, 69(1): 61-67.

See Also

See DoptBCD.sim for allocating patients with covariate data generating mechanism. See DoptBCD.ui for the command-line user interface.

Examples

```
# a simple use
## Real Data
df <- data.frame("gender" = sample(c("female", "male"), 100, TRUE, c(1 / 3, 2 / 3)),
                 "age" = sample(c("0-30", "30-50", ">50"), 100, TRUE),
                 "jobs" = sample(c("stu.", "teac.", "others"), 100, TRUE),
                 stringsAsFactors = TRUE)
Res <- DoptBCD(df)
## view the output
Res

## view all patients' profile and assignments
## Res$Cov_Assig

## Simulated Data
n <- 1000
cov_num <- 2

level_num <- c(2, 5)
# Set pr to follow two tips:
#(1) length of pr should be sum(level_num);
#(2)sum of probabilities for each margin should be 1.
pr <- c(0.4, 0.6, rep(0.2, times = 5))
Res.sim <- DoptBCD.sim(n, cov_num, level_num, pr)
## view the output
Res.sim

## view the difference between treatment 1 and treatment 2
##            at overall, within-strt. and overall levels
Res.sim$Diff


N <- 5
n <- 100
cov_num <- 2
```

```
level_num <- c(3, 5) # << adjust to your CPU and the length should correspond to cov_num
## Set pr to follow two tips:
## (1) length of pr should be sum(level_num);
## (2)sum of probabilities for each margin should be 1
pr <- c(0.3, 0.4, 0.3, rep(0.2, times = 5))
omega <- c(0.2, 0.2, rep(0.6 / cov_num, times = cov_num))

## generate a container to contain Diff
DH <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
DA <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
for(i in 1 : N){
  result <- HuHuCAR.sim(n, cov_num, level_num, pr, omega)
  resultA <- StrBCD.sim(n, cov_num, level_num, pr)
  DH[ , i] <- result$Diff; DA[ , i] <- resultA$Diff
}
## do some analysis
require(dplyr)

## analyze the overall imbalance
Ana_O <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_O) <- c("HuHuCAR", "DoptBCD")
colnames(Ana_O) <- c("mean", "median", "95%quantile")
temp <- DH[1, ] %>% abs
tempA <- DA[1, ] %>% abs
Ana_O[1, ] <- c((temp %>% mean), (temp %>% median),
                (temp %>% quantile(0.95)))
Ana_O[2, ] <- c((tempA %>% mean), (tempA %>% median),
                (tempA %>% quantile(0.95)))

## analyze the within-stratum imbalances
tempW <- DH[2 : (1 + prod(level_num)), ] %>% abs
tempWA <- DA[2 : 1 + prod(level_num)), ] %>% abs
Ana_W <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_W) <- c("HuHuCAR", "DoptBCD")
colnames(Ana_W) <- c("mean", "median", "95%quantile")
Ana_W[1, ] = c((tempW %>% apply(1, mean) %>% mean),
               (tempW %>% apply(1, median) %>% mean),
               (tempW %>% apply(1, mean) %>% quantile(0.95)))
Ana_W[2, ] = c((tempWA %>% apply(1, mean) %>% mean),
               (tempWA %>% apply(1, median) %>% mean),
               (tempWA %>% apply(1, mean) %>% quantile(0.95)))

## analyze the marginal imbalance
tempM <- DH[(1 + prod(level_num) + 1) :
              (1 + prod(level_num) + sum(level_num)), ] %>% abs
tempMA <- DA[(1 + prod(level_num) + 1) :
              (1 + prod(level_num) + sum(level_num)), ] %>% abs
Ana_M <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_M) <- c("HuHuCAR", "DoptBCD")
colnames(Ana_M) <- c("mean", "median", "95%quantile")
Ana_M[1, ] = c((tempM %>% apply(1, mean) %>% mean),
               (tempM %>% apply(1, median) %>% mean),
               (tempM %>% apply(1, mean) %>% quantile(0.95)))
```

```
Ana_M[2, ] = c((tempMA %>% apply(1, mean) %>% mean),
               (tempMA %>% apply(1, median) %>% mean),
               (tempMA %>% apply(1, mean) %>% quantile(0.95)))

AnaHP <- list(Ana_O, Ana_M, Ana_W)
names(AnaHP) <- c("Overall", "Marginal", "Within-stratum")

AnaHP
```

DoptBCD.sim   *Atkinson's D_A-optimal Biased Coin Design with Covariate Data*
                               Generating Mechanism

Description

Allocates patients generated by simulating covariates-profile under the assumption of independence between covariates and levels within each covariate, to one of two treatments based on the D_A-optimal biased coin design in the presence of prognostic factors, as proposed by Atkinson A C (1982) <Doi:10.2307/2335853>.

Usage

```
## S3 method for class 'carandom'
DoptBCD.sim(n = 1000, cov_num = 2, level_num = c(2, 2),
            pr = rep(0.5, 4))
```

Arguments

n	the number of patients. Default is 1000.
cov_num	the number of covariates. Default is 2.
level_num	the vector of level numbers for each covariate. Hence the length of level_num should be equal to the number of covariates. The default is c(2,2).
pr	the vector of probabilities. Under the assumption of independence between covariates, pr is a vector containing probabilities for each level of each covariate. The length of pr should correspond to number of all levels, and the vector sum of pr should be equal to cov_num. The default is pr = rep(0.5,4), which implies that cov_num = 2, and level_num = c(2,2).

Details

See DoptBCD.

Value

See DoptBCD.

See Also

See `DoptBCD` for allocating patients with complete covariate data; See `DoptBCD.ui` for the command-line user interface.

DoptBCD.ui	*Command-line User Interface Using Atkinson's D_A-optimal Biased Coin Design*

Description

A call to the user-interface function used to allocate patients to one of two treatments using Atkinson's D_A-optimal biased coin design proposed by Atkinson A C (1982) <Doi:10.2307/2335853>.

Usage

```
## S3 method for class 'carseq'
DoptBCD.ui(path, folder = "DoptBCD")
```

Arguments

path	the path in which a folder used to store variables will be created.
folder	name of the folder. If it is the default, a folder named "DoptBCD" will be created.

Details

See `DoptBCD`.

Value

It returns an object of `class` "carseq".

The function `print` is used to obtain results. The generic accessor functions `assignment`, `covariate`, `cov_num`, `cov_profile` and others extract various useful features of the value returned by that function.

Note

This function provides a command-line user interface and users should follow the prompts to enter data including covariates, as well as levels for each covariate and the covariate profile of the new patient.

See Also

See `DoptBCD` for allocating patients with complete covariate data; See `DoptBCD.sim` for allocating patients with covariate data generating mechanism.

---

evalPower   *Evaluation of Tests and Randomization Procedures through Power*

Description

Returns powers and a plot of the chosen test and method under different treatment effects.

Usage

```
evalPower(n, cov_num, level_num, pr, type, beta, di = seq(0,0.5,0.1), sigma = 1,
          Iternum, sl = 0.05, method = HuHuCAR, test, plot = "TRUE", ...)
```

Arguments

n	number of patients.
cov_num	number of covariates.
level_num	the vector of level numbers for each covariate. Hence the length of `level_num` should be equal to the number of covariates.
pr	the vector of probabilities. Under the assumption of independence between covariates, `pr` is a vector containing probabilities for each level of each covariate. The length of pr should correspond to the number of all levels, and the vector sum of pr should be equal to cov_num.
type	the type of models when generating data. Optional input: `linear` or `logit`.
beta	the vector of coefficients of covariates. The length of beta must correspond to `cov_num`.
di	the vector of values of difference in treatment effects. The default value is a sequence from `0` to `0.5` with increment being `0.1`.
sigma	the error variance for the linear model. The default value is 1. It is only used when `type` is `linear`.
Iternum	an integer. It is the number of iterations required for calculating the average power.
sl	the significance level. If the p-value returned by the test is less than `sl`, we will reject the null hypothesis. The default value is `0.05`.
method	the randomization method to be used in allocating patients. The default randomization `HuHuCAR` uses Hu and Hu's general covariate-adaptive randomization; the alternatives are `PocSimMIN`, `StrBCD`, `StrPBR`, `DoptBCD`, and `AdjBCD`.
test	the test used to verify hypothesis. Optional input: `rand.test`, `boot.test` or `corr.test`, which are the randomization test, the bootstrap t-test and the corrected t-test respectively.
plot	bool. It shows whether to plot or not. Optional input: `TRUE` or `FALSE`.
...	arguments to be passed to methods. These depend on the `method` and `test` used and the following arguments are accepted:

omega the vector of weights at the overall, within-stratum, and marginal levels. It is required that at least one element is larger than 0. Note that omega is only needed when HuHuCAR is to be used.

weight the vector of weights for marginal imbalances. It is required that at least one element is larger than 0. Note that weight is only needed when PocSimMIN is to be used.

p the probability of assigning one patient to treatment 1, where p should be larger than 1/2 to obtain balance. Note that p is only needed when "HuHuCAR", "PocSimMIN" and "StrBCD" are to be used.

a a design parameter. As a goes to ∞, the design becomes more deterministic.

bsize block size for the stratified randomization. It is required to be a multiple of 2. Note that bsize is only needed when "StrPBR" is to be used.

B an integer. It is the number of bootstrap samplings. It is needed only when test is boot.test.

Reps an integer. It represents the number of randomized replications. It is needed only when test is rand.test.

nthreads the number of threads to be used in parallel computation. This is needed only under rand.test and boot.test. The default is 1.

Value

This function returns a list. The first element is a dataframe representing the powers of the chosen test under different values of treatment effects. The second element is the execution time. An optional element is the plot of power in which di forms the vertical axis.

Examples

```
##settings
set.seed(2019)
n = 100#<<for demonstration,it is suggested to be larger than 1000
cov_num = 5
level_num = c(2,2,2,2,2)
pr = rep(0.5,10)
beta = c(0.1,0.4,0.3,0.2,0.5)
omega = c(0.1, 0.1, rep(0.8 / 5, times = 5))
di = seq(0,0.5,0.1)
sigma = 1
type = "linear"
p = 0.85
Iternum = 10#<<for demonstration,it is suggested to be around 1000
sl = 0.05
Reps = 10#<<for demonstration,it is suggested to be 200

#Evaluation of Power
library("ggplot2")
Strtp=evalPower(n,cov_num,level_num,pr,type,beta,di,sigma,
                Iternum,sl,HuHuCAR,rand.test,TRUE,omega,p,Reps, nthreads = 1)
Strtp
```

---

evalRand *Evaluation of Randomization Procedures*

Description

Evaluates a specific randomization procedure based on several different quantities of imbalances.

Usage

```
## S3 method for class 'careval'
evalRand(data, method = "HuHuCAR", N = 500, ...)
```

Arguments

data   a dataframe. A row of the dataframe contains the covariate profile of a patient.

N   the iteration number.

method   the randomization method to be used in allocating patients. The default randomization "HuHuCAR" uses Hu and Hu's general covariate-adaptive randomization; the alternatives are "PocSimMIN", "StrBCD", "StrPBR", "DoptBCD", and "AdjBCD".

...   arguments to be passed to methods. These depend on the method and the following arguments are accepted:

omega the vector of weights at the overall, within-stratum, and marginal levels. It is required that at least one element is larger than 0. Note that omega is only needed when HuHuCAR is to be assessed.

weight the vector of weights for all involved margins. It is required that at least one element is NOT 0 and length(weight) = cov_num. Note that weight is only needed when PocSimMIN is to be assessed.

p the probability of assigning one patinet to treatment 1. p should be larger than 1/2 to obtain balance. Note that p is only needed when "HuHuCAR", "PocSimMIN" and "StrBCD" are to be assessed.

a a design parameter. As a goes to ∞, the design becomes more deteministic. Note that a is only needed when "AdjBCD" is to be assessed.

bsize the block size for stratified permuted block randomization. It is required to be a multiple of 2. Note that bsize is only needed when "StrPBR" is to be assessed.

Details

The data is designed for N times using method.

Value

It returns an object of [class](#) "careval".

The function [print](#) is used to obtain results. The generic accessor functions Assig, Diff, data, All strata and others extract various useful features of the value returned by evalRand.

An object of class "careval" is a list containing at least the following components:

N	the number of patients.
Assig	a n*N matrix containing assignments for each patient for N iterations.
Imb	a matrix containing maximum, 95%-quantile, median, and mean of absolute imbalances at overall, each within-stratum and each marginal levels.
SNUM	a matrix with N colunms containing number of patients at overall, each marginal and each within-stratum levels for each iteration.
Data Type	the data type. Real or Simulated.

References

Atkinson A C. *Optimum biased coin designs for sequential clinical trials with prognostic factors*[J]. Biometrika, 1982, 69(1): 61-67.

Baldi Antognini A, Zagoraiou M. *The covariate-adaptive biased coin design for balancing clinical trials in the presence of prognostic factors*[J]. Biometrika, 2011, 98(3): 519-535.

Hu Y, Hu F. *Asymptotic properties of covariate-adaptive randomization*[J]. The Annals of Statistics, 2012, 40(3): 1794-1815.

Pocock S J, Simon R. *Sequential treatment assignment with balancing for prognostic factors in the controlled clinical trial*[J]. Biometrics, 1975: 103-115.

Shao J, Yu X, Zhong B. *A theory for testing hypotheses under covariate-adaptive randomization*[J]. Biometrika, 2010, 97(2): 347-360.

Zelen M. *The randomization and stratification of patients to clinical trials*[J]. Journal of chronic diseases, 1974, 27(7): 365-375.

See Also

See [evalRand.sim](#) to evaluate a randomization procedure with covariate data generating mechanism.

Examples

```
# a simple use
## Access by real data
## create a dataframe
df <- data.frame("gender" = sample(c("female", "male"), 1000, TRUE, c(1 / 3, 2 / 3)),
                 "age" = sample(c("0-30", "30-50", ">50"), 1000, TRUE),
                 "jobs" = sample(c("stu.", "teac.", "others"), 1000, TRUE),
                 stringsAsFactors = TRUE)
Res <- evalRand(data = df, method = "HuHuCAR", N = 500,
                omega = c(1, 2, rep(1, ncol(df))), p = 0.85)
## view the output
```

```
Res

  ## view all patients' assignments
  Res$Assig

## Assess by simulated data
cov_num <- 3
level_num <- c(2, 3, 5)
pr <- c(0.35, 0.65, 0.25, 0.35, 0.4, 0.25, 0.15, 0.2, 0.15, 0.25)
n <- 1000
N <- 50
omega = c(1, 2, 1, 1, 2)
# assess Hu and Hu's procedure with the same group of patients
Res.sim <- evalRand.sim(n = n, N = N, Replace = FALSE, cov_num = cov_num,
                        level_num = level_num, pr = pr, method = "HuHuCAR",
                        omega, p = 0.85)

  ## Compare four procedures
  cov_num <- 3
  level_num <- c(2, 10, 2)
  pr <- c(rep(0.5, times = 2), rep(0.1, times = 10), rep(0.5, times = 2))
  n <- 100
  N <- 200 # <<adjust according to CPU
  bsize <- 4
  ## set weights for HuHuCAR
  omega <- c(1, 2, rep(1, cov_num));
  ## set weights for PocSimMIN
  weight = rep(1, cov_num);
  ## set biased probability
  p = 0.80
  # assess Hu and Hu's procedure
  RH <- evalRand.sim(n = n, N = N, Replace = FALSE, cov_num = cov_num,
                     level_num = level_num, pr = pr, method = "HuHuCAR",
                     omega = omega, p = p)
  # assess Pocock and Simon's method
  RPS <- evalRand.sim(n = n, N = N, Replace = FALSE, cov_num = cov_num,
                      level_num = level_num, pr = pr, method = "PocSimMIN",
                      weight, p = p)
  # assess Shao's procedure
  RS <- evalRand.sim(n = n, N = N, Replace = FALSE, cov_num = cov_num,
                     level_num = level_num, pr = pr, method = "StrBCD",
                     p = p)
  # assess stratified randomization
  RSR <- evalRand.sim(n = n, N = N, Replace = FALSE, cov_num = cov_num,
                      level_num = level_num, pr = pr, method = "StrPBR",
                      bsize)

  # create containers
  C_M = C_O = C_WS = matrix(NA, nrow = 4, ncol = 4)
  colnames(C_M) = colnames(C_O) = colnames(C_WS) =
    c("max", "95%quan", "med", "mean")
  rownames(C_M) = rownames(C_O) = rownames(C_WS) =
    c("HH", "PocSim", "Shao", "StraRand")
```

```
# assess the overall imbalance
C_O[1, ] = RH$Imb[1, ]
C_O[2, ] = RPS$Imb[1, ]
C_O[3, ] = RS$Imb[1, ]
C_O[4, ] = RSR$Imb[1, ]
# view the result
C_O

# assess the marginal imbalances
C_M[1, ] = apply(RH$Imb[(1 + RH$strt_num) : (1 + RH$strt_num + sum(level_num)), ], 2, mean)
C_M[2, ] = apply(RPS$Imb[(1 + RPS$strt_num) : (1 + RPS$strt_num + sum(level_num)), ], 2, mean)
C_M[3, ] = apply(RS$Imb[(1 + RS$strt_num) : (1 + RS$strt_num + sum(level_num)), ], 2, mean)
C_M[4, ] = apply(RSR$Imb[(1 + RSR$strt_num) : (1 + RSR$strt_num + sum(level_num)), ], 2, mean)
# view the result
C_M

# assess the within-stratum imbalances
C_WS[1, ] = apply(RH$Imb[2 : (1 + RH$strt_num), ], 2, mean)
C_WS[2, ] = apply(RPS$Imb[2 : (1 + RPS$strt_num), ], 2, mean)
C_WS[3, ] = apply(RS$Imb[2 : (1 + RS$strt_num), ], 2, mean)
C_WS[4, ] = apply(RSR$Imb[2 : (1 + RSR$strt_num), ], 2, mean)
# view the result
C_WS

# Compare the four procedures through plots
meth = rep(c("Hu", "PS", "Shao", "STR"), times = 3)
shape <- rep(1 : 4, times = 3)
crt <- rep(1 : 3, each = 4)
crt_c <- rep(c("O", "M", "WS"), each = 4)
mean <- c(C_O[, 4], C_M[, 4], C_WS[, 4])
df_1 <- data.frame(meth, shape, crt, crt_c, mean,
                   stringsAsFactors = TRUE)

require(ggplot2)
p1 <- ggplot(df_1, aes(x = meth, y = mean, color = crt_c, group = crt,
                       linetype = crt_c, shape = crt_c)) +
  geom_line(size = 1) +
  geom_point(size = 2) +
  xlab("method") +
  ylab("absolute mean") +
  theme(plot.title = element_text(hjust = 0.5))
p1
```

---

evalRand.sim   *Evaluation Randomization Procedures with Covariate Data Generating Mechanism*

Description

Evaluates randomization procedure based on several different quantities of imbalances by simulating patients' covariate profiles under the assumption of independence between covariates and levels within each covariate.

Usage

```
## S3 method for class 'careval'
evalRand.sim(n = 1000, N = 500, Replace = FALSE, cov_num = 2,
             level_num = c(2, 2), pr = rep(0.5, 4), method = "HuHuCAR", ...)
```

Arguments

N	the iteration number.
n	the number of patients. The default is `1000`.
Replace	bool. If `Replace = FALSE`, the function does clinical trial design for N iterations for one group of patients. If `Replace = TRUE`, the function dose clinical trial design for N iterations for N different groups of patients.
cov_num	the number of covariates. The default is 2.
level_num	the vector of level numbers for each covariate. Hence the length of `level_num` should be equal to the number of covariates. The default is `c(2,2)`.
pr	the vector of probabilities. Under the assumption of independence between covariates, `pr` is a vector containing probabilities for each level of each covariate. The length of `pr` should correspond to the number of all levels, and the vector sum of `pr` should be equal to `cov_num`. The default is `pr = (0.5,0.5,0.5,0.5)`, which implies that `cov_num = 2`, and `level_num = c(2,2)`.
method	the randomization method to be used in allocating patients. The default randomization "HuHuCAR" uses Hu and Hu's general covariate-adaptive randomization; the alternatives are "PocSimMIN", "StrBCD", "StrPBR", "DoptBCD" and "AdjBCD".
...	arguments to be passed to methods. These depends on `method`, and the following arguments are accepted:

    omega the vector of weights at the overall, within-stratum, and marginal levels. It is required that at least one element is larger than 0. Note that omega is only needed when HuHuCAR are to be assessed.

    weight the vector of weights for marginal imbalances. It is required that at least one element is NOT 0 and `length(weight) = cov_num`. Note that weight is only needed when PocSimMIN is to be assessed.

    p the probability of assigning one patinet to treatment 1. p should be larger than 1/2 to obtain balance. Note that p is only needed when "HuHuCAR", "PocSimMIN" and "StrBCD" is to be assessed.

    a a design parameter. As a goes to ∞, the design becomes more deteministic. Note that a is only needed when "AdjBCD" is to be assessed.

    bsize the block size for stratified permuted block randomization. It is required to be a multiple of 2. Note that bsize is only needed when "StrPBR" is to be assessed.

Details

See [evalRand](#).

Value

See [evalRand](#).

See Also

See [evalRand](#) to evaluate a randomization procedure with complete covariate data.

---

getData   *Data Generation*

Description

Generates continuous or binary outcomes given patients' covariates, the underlying model and the randomization procedure.

Usage

```
getData(n, cov_num, level_num, pr, type, beta,
        mu1, mu2, sigma = 1, method = HuHuCAR, ...)
```

Arguments

n	the number of patients.
cov_num	the number of covariates.
level_num	the vector of level numbers for each covariate. Hence the length of level_num should be equal to the number of covariates.
pr	the vector of probabilities. Under the assumption of independence between covariates, pr is a vector containing probabilities for each level of each covariate. The length of pr should correspond to number of all levels, and the vector sum of pr should be equal to cov_num.
type	the type of models when generating data. Optional input: linear or logit.
beta	the vector of coefficients of covariates. The length of beta must correspond to cov_num.
mu1,mu2	main effects of treatment 1 and treatment 2.
sigma	the error variance for linear model. The default is 1. It is only used when type is linear.
method	the randomization method to be used in allocating patients. The default randomization method HuHuCAR uses Hu and Hu's general covariate-adaptive randomization; the alternatives are PocSimMIN, StrBCD, StrPBR, DoptBCD, and AdjBCD.
...	arguments to be passed to methods. These depends on the method used and the following arguments are accepted:

omega the vector of weights at the overall, within-stratum, and marginal levels. It is required that at least one element is larger than 0. Note that omega is only needed when HuHuCAR is to be used.

weight the vector of weights for maginal imbalances. It is required that at least one element is larger than 0. Note that weight is only needed when PocSimMIN is to be used.

p the probability of assigning one patient to treatment 1. p is required to be larger than 1/2 to obtain balance. Note that p is only needed when "HuHuCAR","PocSimMIN" and "StrBCD" are to be used.

a a design parameter. As a goes to ∞, the design becomes more deterministic.

bsize the block size for stratified randomization. It is required to be a multiple of 2. Notice that bsize is only needed when "StrPBR" is to be used.

Details

To generate continuous outcomes, we use the linear model:

$$y_i = \mu_j + x_i^T \beta + \epsilon_i,$$

to generate binary outcomes, we use the logit link function:

$$P(y_i = 1) = \frac{exp\{\mu_j + x_i^T \beta\}}{1 + exp\{\mu_j + x_i^T \beta}$$

,

where j indicates patient i belongs to treatment j.

Value

getData returns a size $cov_num + 2 \times n$ dataframe. The first cov_num rows represent patients' profile. The next row consists of patients' assignments and the final row consists of generated outcomes.

Examples

```
#Parameters' Setting
set.seed(100)
n = 1000
cov_num = 5
level_num = c(2,2,2,2,2)
beta = c(1,4,3,2,5)
mu1 = 0
mu2 = 0
sigma = 1
type = "linear"
method = HuHuCAR
p = 0.85
omega = c(0.1, 0.1, rep(0.8 / 5, times = 5))
pr = rep(0.5,10)

#Data Generation
```

```
dataH = getData(n, cov_num,level_num, pr, type, beta,
                mu1, mu2, sigma, HuHuCAR, omega, p)
dataH[1:(cov_num+2),1:5]
```

HuHuCAR   *Hu and Hu's General Covariate-Adaptive Randomization*

Description

Allocates patients to one of two treatments using Hu and Hu's general covariate-adaptive random-ization proposed by Hu Y, Hu F (2012) <Doi:10.1214/12-AOS983>.

Usage

```
## S3 method for class 'carandom'
HuHuCAR(data, omega = NULL, p = 0.85)
```

Arguments

data	a dataframe or matrix. A row of the dataframe contains the covariate profile of some patient.
omega	the vector of weights at the overall, within-stratum, and maginal levels. It is required that at least one element is larger than 0. If omega = NULL (default), it weights the overall, within-stratum as well as marginal levels with porportion 1/cov_num.
p	the probability of assigning one patient to treatment 1. p should be larger than 1/2 to obtain balance. The default is 0.85.

Details

Consider I covariates and m_i levels for the ith covariate. T_j is the assignment of the jth ptient and $Z_j = (k_1, \ldots, k_I)$ indicates the covariate profile of this patient. For convenience, $(k_1, \ldots, k_I)$ and $(i; k_i)$ denote the stratum and margin respectively. $D_n(.)$ is the difference between the numbers of assigned patients in treatment 1 and treatment 2 at the corresponding level after n patinets have been assigned.The general CAR procedure is as follows:

(1) The first patient is assigned to treatment 1 with probability $1/2$;

(2) Suppose that $n - 1$ patients have been assigned to a treatment $(n > 1)$, and the nth patient falls within $(k_1^*, \ldots, k_I^*)$;

(3)If the nth patient was assigned to treatment 1, then the potential overall, marginal, and within-stratum differences in the two groups are

$$D_n^{(1)} = D_{n-1} + 1$$

$$D_n^{(1)}(i; k_i^*) = D_{n-1}(i, k_i^*) + 1$$
$$D_n^{(1)}(k_1^*, \ldots, k_I^*) = D_n(k_1^*, \ldots, k_I^*) + 1.$$

Similarly, the potential differences if the nth patinent was assigned to treatment 1 would be obtained in the same way.

(4) An imbalance measure is defined by

$$Imb_n^{(l)} = \omega_0[D_n^{(1)}]^2 + \sum_{i=1}^{I} \omega_{m,i}[D_n^{(1)}(i; k_i^*)]^2 + \omega_s[D_n^{(1)}(k_1^*, \ldots, k_I^*)]^2, l = 1, 2;$$

(5)Conditional on the assignments of the first $(n-1)$ patients as well as the covariate profiles of the first n patients, assign the nth patient to treatment 1 with probability

$$P(T_n = 1 | Z_n, T_1, \ldots, T_{n-1}) = q$$

for $Imb_n^{(1)} > Imb_n^{(2)}$,

$$P(T_n = 1 | Z_n, T_1, \ldots, T_{n-1}) = p$$

for $Imb_n^{(1)} < Imb_n^{(2)}$, and

$$P(T_n = 1 | Z_n, T_1, \ldots, T_{n-1}) = 0.5,$$

for $Imb_n^{(1)} = Imb_n^{(2)}$.

Value

It returns an object of [class](#) "carandom".

The function [print](#) is used to obtain results. The generic accessor functions Cov_Assig, Diff, data, All strata and others extract various useful features of the value returned by HuHuCAR.

An object of class "carandom" is a list containing at least the following components:

cov_num	the number of covariates.
n	the number of patients.
Cov_Assign	a (cov_num + 1) * n matrix containing covariate profiles for all patients and corresponding assignments. The ith column represents the ith patient. The first cov_num rows include a patient's covariate profile and the last row contains the assignment.
All strata	a matrix containing all strata involved.
Diff	a matrix with only one column. There are final differences at the overall, within-stratum, and marginal levels.
Data Type	the data type. Real or Simulated.

References

Hu Y, Hu F. *Asymptotic properties of covariate-adaptive randomization*[J]. The Annals of Statistics, 2012, 40(3): 1794-1815.

See Also

See [HuHuCAR.sim](#) for allocating patients with covariate data generating mechanism. See [HuHuCAR.ui](#) for the command-line user interface.

Examples

```
# a simple use
## Real Data
## create a dataframe
df <- data.frame("gender" = sample(c("female", "male"), 1000, TRUE, c(1 / 3, 2 / 3)),
                 "age" = sample(c("0-30", "30-50", ">50"), 1000, TRUE),
                 "jobs" = sample(c("stu.", "teac.", "others"), 1000, TRUE),
                 stringsAsFactors = TRUE)
omega <- c(1, 2, rep(1, 3))
Res <- HuHuCAR(data = df, omega)
## view the output
Res

## view all patients' profile and assignments
Res$Cov_Assig

## Simulated data
cov_num <- 3
level_num <- c(2, 3, 3)
pr <- c(0.4, 0.6, 0.3, 0.4, 0.3, 0.4, 0.3, 0.3)
omega <- rep(0.2, times = 5)
Res.sim <- HuHuCAR.sim(n = 100, cov_num, level_num, pr, omega)
## view the output
Res.sim

## view the detials of difference
Res.sim$Diff


N <- 100 # << adjust according to your CPU
n <- 1000
cov_num <- 3
level_num <- c(2, 3, 5) # << adjust to your CPU and the length should correspond to cov_num
# Set pr to follow two tips:
#(1) length of pr should be sum(level_num);
#(2)sum of probabilities for each margin should be 1.
pr <- c(0.4, 0.6, 0.3, 0.4, 0.3, rep(0.2, times = 5))
omega <- c(0.2, 0.2, rep(0.6 / cov_num, times = cov_num))
# Set omega0 = omegaS = 0
omegaP <- c(0, 0, rep(1 / cov_num, times = cov_num))

## generate a container to contain Diff
DH <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
DP <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
for(i in 1 : N){
  result <- HuHuCAR.sim(n, cov_num, level_num, pr, omega)
  resultP <- HuHuCAR.sim(n, cov_num, level_num, pr, omegaP)
  DH[ , i] <- result$Diff; DP[ , i] <- resultP$Diff
}

## do some analysis
require(dplyr)
```

```
## analyze the overall imbalance
Ana_O <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_O) <- c("NEW", "PS")
colnames(Ana_O) <- c("mean", "median", "95%quantile")
temp <- DH[1, ] %>% abs
tempP <- DP[1, ] %>% abs
Ana_O[1, ] <- c((temp %>% mean), (temp %>% median),
                (temp %>% quantile(0.95)))
Ana_O[2, ] <- c((tempP %>% mean), (tempP %>% median),
                (tempP %>% quantile(0.95)))
## analyze the within-stratum imbalances
tempW <- DH[2 : (1 + prod(level_num)), ] %>% abs
tempWP <- DP[2 : 1 + prod(level_num), ] %>% abs
Ana_W <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_W) <- c("NEW", "PS")
colnames(Ana_W) <- c("mean", "median", "95%quantile")
Ana_W[1, ] = c((tempW %>% apply(1, mean) %>% mean),
               (tempW %>% apply(1, median) %>% mean),
               (tempW %>% apply(1, mean) %>% quantile(0.95)))
Ana_W[2, ] = c((tempWP %>% apply(1, mean) %>% mean),
               (tempWP %>% apply(1, median) %>% mean),
               (tempWP %>% apply(1, mean) %>% quantile(0.95)))

## analyze the marginal imbalance
tempM <- DH[(1 + prod(level_num) + 1) : (1 + prod(level_num) + sum(level_num)), ] %>% abs
tempMP <- DP[(1 + prod(level_num) + 1) : (1 + prod(level_num) + sum(level_num)), ] %>% abs
Ana_M <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_M) <- c("NEW", "PS"); colnames(Ana_M) <- c("mean", "median", "95%quantile")
Ana_M[1, ] = c((tempM %>% apply(1, mean) %>% mean),
               (tempM %>% apply(1, median) %>% mean),
               (tempM %>% apply(1, mean) %>% quantile(0.95)))
Ana_M[2, ] = c((tempMP %>% apply(1, mean) %>% mean),
               (tempMP %>% apply(1, median) %>% mean),
               (tempMP %>% apply(1, mean) %>% quantile(0.95)))

AnaHP <- list(Ana_O, Ana_M, Ana_W)
names(AnaHP) <- c("Overall", "Marginal", "Within-stratum")

AnaHP
```

HuHuCAR.sim	*Hu and Hu's General Covariate-Adaptive Randomization with Co-variate Data Generating Mechanism*

Description

Allocates patients to one of two treatments using general covariate-adaptive randomization proposed by Hu Y, Hu F (2012) <Doi:10.1214/12-AOS983>, by simulating covariate profiles based on the assumption of independence between covariates and levels within each covariate.

Usage

```
## S3 method for class 'carandom'
HuHuCAR.sim(n = 1000, cov_num = 2, level_num = c(2, 2),
             pr = rep(0.5, 4), omega = NULL, p = 0.85)
```

Arguments

n	the number of patients. The default is 1000.
cov_num	the number of covariates. The default is 2.
level_num	the vector of level numbers for each covariate. Hence the length of level_num should be equal to the number of covariates. The default is c(2,2).
pr	the vector of probabilities. Under the assumption of independence between covariates, pr is a vector containing probabilities for each level of each covariate. The length of pr should correspond to the number of all levels, and the vector sum of pr should be equal to cov_num. The default is pr = rep(0.5,4), which implies that cov_num = 2, and level_num = c(2,2).
omega	the vector of weights at the overall, within-stratum, and maginal levels. It is required that at least one element is larger than 0. If omega = NULL (default), it weights the overall, within-stratum as well as marginal levels with porportion 1/cov_num.
p	the probability of assigning one patient to treatment 1. p should be larger than 1/2 to obtain balance. The default is 0.85.

Details

See [HuHuCAR](#).

Value

See [HuHuCAR](#).

See Also

See [HuHuCAR](#) for allocating patients with complete covariate data; See [HuHuCAR.ui](#) for the command-line user interface.

HuHuCAR.ui	*Command-line User Interface Using Hu and Hu's General Covariate-adaptive Randomization*

Description

A call to the user-iterface function used to allocate patients to one of two treatments using Hu and Hu's general covariate-adaptive randomization method as proposed by Hu Y, Hu F (2012) <Doi:10.1214/12-AOS983>.

Usage

```
## S3 method for class 'carseq'
HuHuCAR.ui(path, folder = "HuHuCAR")
```

Arguments

path   the path in which a folder used to store variables will be created.

folder   name of the folder. If default, a folder named "HuHuCAR" will be created.

Details

See [HuHuCAR](#)

Value

It returns an object of [class](#) "carseq".

The function [print](#) is used to obtain results. The generic accessor functions assignment, covariate, cov_num, cov_profile and others extract various useful features of the value returned by HuHuCAR.ui.

Note

This function provides a command-line interface so that users should follow the prompts to enter data, including covariates as well as levels for each covariate, weights omega, biased probability p and the covariate profile of the new patient.

See Also

See [HuHuCAR](#) for allocating patients with complete covariate data; See [HuHuCAR.sim](#) for allocating patients with covariate data generating mechanism.

---

pats   *Data of Covariate Profile of Patients*

Description

gives the simulated covariate profile of patients for clincal trials.

Usage

```
data(pats)
```

Arguments

pats   a dataframe. Each row contains an individual's covariate profile and each column corresponds to a covariate. It contains the following columns

         gender Options are `male` and `female`.

         employment status Options are "unemployment" (`unemp`), "part time" (`part.`), "full time" (`full.`).

         income Options are `>= 1w`, `<= 0.5w`, `0.5~1w`.

         marriage status Options are `unmarried`, `married`, `divorced`

PocSimMIN   *Pocock and Simon's Method in the Two-Arms Case*

Description

Allocates patients to one of two treatments using Pocock and Simon's method proposed by Pocock S J, Simon R (1975) <Doi:10.2307/2529712>.

Usage

```
## S3 method for class 'carandom'
PocSimMIN(data, weight = NULL, p = 0.85)
```

Arguments

data   a dataframe or matrix. A row of the dataframe contains the covariate profile of a patient.

weight   the vector of weights for maginal imbalances. It is required that at least one element is larger than 0. If `weight = NULL` (default), the marginal imbalances are equally weighted as `1/cov_num` for each margin.

p   the probability of assigning one patient to treatment 1. `p` should be larger than $1/2$ to obtain balance. The default is `0.85`.

Details

Consider I covariates and m_i levels for the ith covariate. T_j is the assignment of the jth patient and $Z_j = (k_1, \ldots, k_I)$ indicates the covariate profile of this patient. For convenience, $(k_1, \ldots, k_I)$ and $(i; k_i)$ denote the stratum and margin respectively. $D_n(.)$ is the difference between the numbers of assigned patients in treatment 1 and treatment 2 at the corresponding level after n patinets being assigned.The Pocock and Simon's procedure in the two-arms case is then as follows:

(1) The first patient is assigned to treatment 1 with probability $1/2$;

(2) Suppose that $n - 1$ patients have been assigned to a treatment ($n > 1$) and the nth patient falls within $(k_1^*, \ldots, k_I^*)$;

(3)If the nth patient was assigned to treatment 1, then the potential marginal differences between the two groups are

$$D_n^{(1)}(i; k_i^*) = D_{n-1}(i, k_i^*) + 1.$$

Similarly, the potential differences would be obtained in the same way if the nth patinent was assigned to treatment 2.

(4) An imbalance measure is defined by

$$Imb_n^{(l)} = \sum_{i=1}^{I} \omega_{m,i}[D_n^{(1)}(i; k_i^*)]^2, l = 1, 2;$$

(5)Conditional on the assignments of the first $(n-1)$ patients as well as the covariate profiles of the first n patients, assign the nth patient to treatment 1 with the probability

$$P(T_n = 1|Z_n, T_1, \ldots, T_{n-1}) = q,$$

for $Imb_n^{(1)} > Imb_n^{(2)}$,

$$P(T_n = 1|Z_n, T_1, \ldots, T_{n-1}) = p,$$

for $Imb_n^{(1)} < Imb_n^{(2)}$, and

$$P(T_n = 1|Z_n, T_1, \ldots, T_{n-1}) = 0.5,$$

for $Imb_n^{(1)} = Imb_n^{(2)}$.

Value

It returns an object of class "carandom".

The functions print is used to obtain results. The generic accessor functions Cov_Assig, Diff, data, All strata and othes extract various useful features of the value returned by PocSimMIN.

An object of class "carandom" is a list containing at least the following components:

cov_num	the number of covariates.
n	the number of patients.
Cov_Assign	a (cov_num + 1) * n matrix containing covariate profiles for all patients and the corresponding assignments. The ith column represents the ith patient. The first cov_num rows include patients' covariate profiles, and the last row contains the assignments.
All strata	a matrix containing all strata involved.
Diff	a matrix with only one column. There are final differences at the overall, within-stratum, and marginal levels.
Data Type	the data type. Real or Simulated.

References

Pocock S J, Simon R. *Sequential treatment assignment with balancing for prognostic factors in the controlled clinical trial*[J]. Biometrics, 1975: 103-115.

See Also

See PocSimMIN.sim for allocating patients with covariate data generating mechanism. See PocSimMIN.ui for the command-line user interface.

Examples

```
# a simple use
## Real Data
## creat a dataframe
df <- data.frame("gender" = sample(c("female", "male"), 1000, TRUE, c(1 / 3, 2 / 3)),
                 "age" = sample(c("0-30", "30-50", ">50"), 1000, TRUE),
                 "jobs" = sample(c("stu.", "teac.", "others"), 1000, TRUE),
                 stringsAsFactors = TRUE)
weight <- c(1, 2, 1)
Res <- PocSimMIN(data = df, weight)
## view the output
Res

## view all patients' profile and assignments
Res$Cov_Assig

## Simulated Data
cov_num = 3
level_num = c(2, 3, 3)
pr = c(0.4, 0.6, 0.3, 0.3, 0.4, 0.4, 0.3, 0.3)
Res.sim <- PocSimMIN.sim(n = 1000, cov_num, level_num, pr)
## view the output
Res.sim

## view the detials of difference
Res.sim$Diff


N <- 5
n <- 1000
cov_num <- 3
level_num <- c(2, 3, 5)
# Set pr to follow two tips:
# (1) length of pr should be sum(level_num);
# (2)sum of probabilities for each margin should be 1.
pr <- c(0.4, 0.6, 0.3, 0.4, 0.3, rep(0.2, times = 5))
omega <- c(0.2, 0.2, rep(0.6 / cov_num, times = cov_num))
weight <- c(2, rep(1, times = cov_num - 1))

## generate a container to contain Diff
DH <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
DP <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
for(i in 1 : N){
  result <- HuHuCAR.sim(n, cov_num, level_num, pr, omega)
  resultP <- PocSimMIN.sim(n, cov_num, level_num, pr, weight)
  DH[ , i] <- result$Diff; DP[ , i] <- resultP$Diff
}

## do some analysis
require(dplyr)

## analyze the overall imbalance
```

```
Ana_O <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_O) <- c("NEW", "PS")
colnames(Ana_O) <- c("mean", "median", "95%quantile")
temp <- DH[1, ] %>% abs
tempP <- DP[1, ] %>% abs
Ana_O[1, ] <- c((temp %>% mean), (temp %>% median),
                (temp %>% quantile(0.95)))
Ana_O[2, ] <- c((tempP %>% mean), (tempP %>% median),
                (tempP %>% quantile(0.95)))

## analyze the within-stratum imbalances
tempW <- DH[2 : (1 + prod(level_num)), ] %>% abs
tempWP <- DP[2 : 1 + prod(level_num), ] %>% abs
Ana_W <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_W) <- c("NEW", "PS")
colnames(Ana_W) <- c("mean", "median", "95%quantile")
Ana_W[1, ] = c((tempW %>% apply(1, mean) %>% mean),
               (tempW %>% apply(1, median) %>% mean),
               (tempW %>% apply(1, mean) %>% quantile(0.95)))
Ana_W[2, ] = c((tempWP %>% apply(1, mean) %>% mean),
               (tempWP %>% apply(1, median) %>% mean),
               (tempWP %>% apply(1, mean) %>% quantile(0.95)))

## analyze the marginal imbalance
tempM <- DH[(1 + prod(level_num) + 1) :
              (1 + prod(level_num) + sum(level_num)), ] %>% abs
tempMP <- DP[(1 + prod(level_num) + 1) :
              (1 + prod(level_num) + sum(level_num)), ] %>% abs
Ana_M <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_M) <- c("NEW", "PS")
colnames(Ana_M) <- c("mean", "median", "95%quantile")
Ana_M[1, ] = c((tempM %>% apply(1, mean) %>% mean),
               (tempM %>% apply(1, median) %>% mean),
               (tempM %>% apply(1, mean) %>% quantile(0.95)))
Ana_M[2, ] = c((tempMP %>% apply(1, mean) %>% mean),
               (tempMP %>% apply(1, median) %>% mean),
               (tempMP %>% apply(1, mean) %>% quantile(0.95)))

AnaHP <- list(Ana_O, Ana_M, Ana_W)
names(AnaHP) <- c("Overall", "Marginal", "Within-stratum")

AnaHP
```

PocSimMIN.sim   *Pocock and Simon's Method in the Two-Arms Case with Covariate Data Generating Mechanism*

Description

Allocates patients to one of two treatments using Pocock and Simon's method proposed by Pocock S J, Simon R (1975) <Doi:10.2307/2529712>, by simulating covariate profiles under the assumption of independence between covariates and levels within each covariate.

Usage

```
## S3 method for class 'carandom'
PocSimMIN.sim(n = 1000, cov_num = 2, level_num = c(2, 2),
              pr = rep(0.5, 4), weight = NULL, p = 0.85)
```

Arguments

n	the number of patients. The default is 1000.
cov_num	the number of covariates. The default is 2.
level_num	the vector of level numbers for each covariate. Hence the length of level_num should be equal to the number of covariates. The default is c(2,2).
pr	the vector of probabilities. Under the assumption of independence between covariates, pr is a vector containing probabilities for each level of each covariate. The length of pr should correspond to the number of all levels, and the vector sum of pr should be equal to cov_num. The default is pr = rep(0.5,4) (default), which implies that cov_num = 2 and level_num = c(2,2).
weight	the vector of weights for maginal imbalances. It is required that at least one element is larger than 0. If weight = NULL (default), the marginal imbalances are equally weighted as 1/cov_num for each margin.
p	the probability of assigning one patient to treatment 1. p should be larger than 1/2 to obtain balance. The default is 0.85.

Details

See PocSimMIN.

Value

See PocSimMIN.

See Also

See PocSimMIN for allocating patients with complete covariate data; See PocSimMIN.ui for the command-line user interface.

| PocSimMIN.ui | *Command-line User Interface Using Pocock and Simon's Procedure with Two-Arms Case* |

Description

A call to the user-iterface function used to allocate patients to one of two treatments using Pocock and Simon's method proposed by Pocock S J, Simon R (1975) <Doi:10.2307/2529712>.

Usage

```
## S3 method for class 'carseq'
PocSimMIN.ui(path, folder = "PocSimMIN")
```

Arguments

path   the path in which a folder used to storage variables will be created.

folder   name of the folder. If default, a folder named "PocSimMIN" will be created.

Details

See [PocSimMIN](#).

Value

It returns an object of [class](#) "carseq".

The function [print](#) is used to obtain results. The generic accessor functions assignment, covariate, cov_num, cov_profile and others extract various useful features of the value returned by PocSimMIN.ui.

Note

This function provides a command-line interface and users should follow the prompts to enter data including covariates as well as levels for each covariate, weight, biased probability p and the covariate profile of the new patient.

See Also

See [PocSimMIN](#) for allocating a given completely collected data; See [PocSimMIN.sim](#) for allocating patients with covariate data generating mechanism.

---

print.carandom	*Print Methods for Sequences, Evaluation and Compariason for Covariate-Adaptive Randomization Procedures*

Description

Printing objects of class ″carandom″, ″careval″, ″carcomp″ or carseq respectively for different functions, by simple [print](#) method.

Usage

```
## S3 method for class 'carandom'
print(x, digits = getOption("digits"), prefix = "\t", ...)

## S3 method for class 'careval'
print(x, digits = getOption("digits"), prefix = "\t", ...)

## S3 method for class 'carcomp'
print(x, digits = getOption("digits"), prefix = "\t", ...)

## S3 method for class 'carseq'
print(x, digits = getOption("digits"), prefix = "\t", ...)
```

Arguments

x	objects of class ″carandom″, ″careval″, ″carcomp″, or carseq.
digits	the number of significant digits to be used.
prefix	a string, passed to [strwrap](#) for displaying the method component of the carandom object, careval object, carcomp object and carseq object.
...	further arguments to be passed to or from methods.

Value

the argument x, invisibly, as for all [print](#) methods.

See Also

[HuHuCAR](#), [evalRand](#), [compRand](#), [HuHuCAR.ui](#)

---

rand.test   *Randomization Test*

Description

Performs randomization test on treatment effects.

Usage

```
rand.test(data, Reps = 200, method = HuHuCAR, conf = 0.95,
                  plot = TRUE, binwidth = 30, ...)
```

Arguments

data	a dataframe. It consists of patients' profiles, treatment assignments and outputs. See [getData]().
Reps	an integer. It represents the number of randomized replications. It is suggested to be 200.
method	the randomization to be used in allocating patients. The default randomization HuHuCAR uses Hu and Hu's general covariate-adaptive randomization; the alternatives are PocSimMIN, StrBCD, StrPBR, DoptBCD and AdjBCD.
conf	confidence level of the interval. Default is 0.95.
plot	bool. It shows whether plot or not. Optional input: TRUE or FALSE.
binwidth	the number of bins for each bar in histogram. The default is 30.
...	arguments to be passed to methods. These depends on the method used and the following arguments are accepted:

omega the vector of weights at the overall, within-stratum, and marginal levels. It is required that at least one element is larger than 0. Note that omega is only needed when HuHuCAR is to be used.

weight the vector of weights for marginal imbalances. It is required that at least one element is larger than 0. Note that weight is only needed when PocSimMIN is to be used.

p the probability of assigning one patient to treatment 1. p should be larger than 1/2 to obtain balance. Note that p is only needed when "HuHuCAR","PocSimMIN" and "StrBCD" are to be used.

a a design parameter. As a goes to ∞, the design becomes more deterministic.

bsize the block size for stratified randomization. It is required to be a multiple of 2. Note that bsize is only needed when "StrPBR" is to be used.

Details

The randomization test is described as follows: 1) For the observed responses $Y_1, \ldots, Y_n$ and the treatment assignments $T_1, T_2, \ldots, T_n$, compute the observed test statistic

$$S_{obs} = \frac{-\sum_{i=1}^{n} Y_i * (T_i - 2)}{n_1} - \frac{\sum_{i=1}^{n} Y_i * (T_i - 1)}{n_0}$$

where n_1 is the number of patients assigned to treatment 1 and n_0 is the number of patients assigned to treatment 2;

2) Perform the covariate-adaptive randomization procedure to obtain the new treatment assignments and calculate the corresponding test statistic S_i. And repeat this process L times;

3) Calculate the two-sided Monte Carlo p-value estimator

$$p = \frac{\sum_{l=1}^{L} I(|S_l| \geq |S_{obs}|)}{L}$$

Value

It returns an object of class `"htest"`.

The function `print` is used to obtain results.The generic accessor functions `statistic`, `p.value` and others extract various useful features of the value returned by `rand.test`.

An object of class `"htest"` is a list containing at least the following components:

`data.name`	a character string giving the name(s) of the data.
`statistic`	the value of the t-statistic. As the randomization test is a nonparametric method, we cannot calculate the t-statistic, so it is hidden in this result.
`p.value`	p-value of the test, the null hypothesis is rejected if the p-value is less than `sl`.
`conf.int`	a confidence interval under the chosen level `conf` for the difference in treatment effect between treatment 1 and treatment 2. As the randomization test is a non-parametric method, we cannot calculate the confidence interval, so it is hidden in this result.
`estimate`	the estimated difference in treatment effects between treatment 1 and treatment 2.
`method`	a character string indicating what type of test was performed.

References

Rosenberger W F, Lachin J M. Randomization in clinical trials: *theory and practice*[M]. John Wiley & Sons, 2015.

Examples

```
##generate data
set.seed(100)
n = 1000
cov_num = 5
level_num = c(2,2,2,2,2)
pr = rep(0.5,10)
beta = c(0.1,0.4,0.3,0.2,0.5)
mu1 = 0
mu2 = 0.01
sigma = 1
type = "linear"
p = 0.85
```

```
dataS = getData(n, cov_num, level_num, pr, type,
                beta, mu1, mu2, sigma, StrBCD, p)

#run the randomization test
library("ggplot2")
Strt = rand.test(data = dataS, Reps = 200,method = StrBCD,
                conf = 0.95, plot = TRUE, binwidth = 30,
                p = 0.85)
Strt
```

StrBCD   *Shao's Method in the Two-Arms Case*

Description

Allocates patients to one of the two treatments using Shao's method proposed by Shao J, Yu X, Zhong B (2010) <Doi:10.1093/biomet/asq014>.

Usage

```
## S3 method for class 'carandom'
StrBCD(data, p = 0.85)
```

Arguments

data   a dataframe. A row of the dataframe contains the covariate profile of a patient.

p   the probability of assigning one patient to treatment 1. p should be larger than 1/2 to obtain balance. The default is 0.85.

Details

Consider I covaraites and m_i levels for the ith covariate. T_j is the assignment of the jth patient and $Z_j = (k_1, \ldots, k_I)$ indicates the covariate profile of this patient. For convenience, $(k_1, \ldots, k_I)$ and $(i; k_i)$ denote the stratum and margin respectively. $D_n(.)$ is the difference between the numbers of assigned patients in treatment 1 and treatment 2 at the corresponding level after n patinets have been assigned.Then Shao's procedure is as follows:

(1) The first patient is assigned to treatment 1 with probability $1/2$;

(2) Suppose $n-1$ patients have each been assigned to a treatment ($n > 1$) and the nth patient falls within $(k_1^*, \ldots, k_I^*)$;

(3)If the nth patient was assigned to treatment 1, then the potential within-stratum difference between the two groups is

$$D_n^{(1)}(k_1^*, \ldots, k_I^*) = D_n(k_1^*, \ldots, k_I^*) + 1.$$

Similarly, the potential differences would be obtained in the same way if the nth patient was assigned to treatment 2.

(4) An imbalance measure is defined by

$$Imb_n^{(l)} = [D_n^{(1)}(k_1^*, \ldots, k_I^*)]^2, l = 1, 2;$$

(5)Conditional on the assignments of the first $(n-1)$ patients as well as the covariates'profiles of the first n patients, assign the nth patient to treatment 1 with probability

$$P(T_n = 1|Z_n, T_1, \ldots, T_{n-1}) = q,$$

for $Imb_n^{(1)} > Imb_n^{(2)}$,

$$P(T_n = 1|Z_n, T_1, \ldots, T_{n-1}) = p,$$

for $Imb_n^{(1)} < Imb_n^{(2)}$, and

$$P(T_n = 1|Z_n, T_1, \ldots, T_{n-1}) = 0.5,$$

for $Imb_n^{(1)} = Imb_n^{(2)}$.

Value

It returns an object of class "carandom".

The function print is used to obtain results. The generic accessor functions Cov_Assig, Diff, data, All strata and others extract various useful features of the value returned by StrBCD.

An object of class "carandom" is a list containing at least the following components:

cov_num	the number of covariates.
n	the number of patients.
Cov_Assign	a (cov_num + 1) * n matrix containing covariate profiles for all patients and corresponding assignments. The ith column represents the ith patient. The first cov_num rows include patients' covariate profiles, and the last row contains the assignment.
All strata	a matrix containing all the strata involved.
Diff	a matrix with only one column. There are final differences at the overall, within-stratum, and marginal levels.
Data Type	the data type. Real or Simulated.

References

Shao J, Yu X, Zhong B. *A theory for testing hypotheses under covariate-adaptive randomization*[J]. Biometrika, 2010, 97(2): 347-360.

See Also

See StrBCD.sim for allocating patients with covariate data generating mechanism. See StrBCD.ui for command-line user interface.

Examples

```
# a simple use
## Real Data
## creat a dataframe
df <- data.frame("gender" = sample(c("female", "male"), 1000, TRUE, c(1 / 3, 2 / 3)),
                 "age" = sample(c("0-30", "30-50", ">50"), 1000, TRUE),
                 "jobs" = sample(c("stu.", "teac.", "others"), 1000, TRUE),
                 stringsAsFactors = TRUE)
Res <- StrBCD(data = df)
## view the output
Res

## view all patients' profile and assignments
Res$Cov_Assig

## Simulated Data
cov_num = 3
level_num = c(2, 3, 3)
pr = c(0.4, 0.6, 0.3, 0.4, 0.3, 0.4, 0.3, 0.3)
Res.sim <- StrBCD.sim(n = 1000, cov_num, level_num, pr)
## view the output
Res.sim

## view the detials of difference
Res.sim$Diff


N <- 5
n <- 1000
cov_num <- 3
level_num <- c(2, 3, 5)
# Set pr to follow two tips:
# (1) length of pr should be sum(level_num);
# (2)sum of probabilities for each margin should be 1
pr <- c(0.4, 0.6, 0.3, 0.4, 0.3, rep(0.2, times = 5))
omega <- c(0.2, 0.2, rep(0.6 / cov_num, times = cov_num))

## generate a container to contain Diff
DH <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
DS <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
for(i in 1 : N){
  result <- HuHuCAR.sim(n, cov_num, level_num, pr, omega)
  resultS <- StrBCD.sim(n, cov_num, level_num, pr)
  DH[ , i] <- result$Diff; DS[ , i] <- resultS$Diff
}

## do some analysis
require(dplyr)

## analyze the overall imbalance
Ana_O <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_O) <- c("NEW", "Shao")
```

```
colnames(Ana_O) <- c("mean", "median", "95%quantile")
temp <- DH[1, ] %>% abs
tempS <- DS[1, ] %>% abs
Ana_O[1, ] <- c((temp %>% mean), (temp %>% median),
                (temp %>% quantile(0.95)))
Ana_O[2, ] <- c((tempS %>% mean), (tempS %>% median),
                (tempS %>% quantile(0.95)))

## analyze the within-stratum imbalances
tempW <- DH[2 : (1 + prod(level_num)), ] %>% abs
tempWS <- DS[2 : 1 + prod(level_num), ] %>% abs
Ana_W <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_W) <- c("NEW", "Shao")
colnames(Ana_W) <- c("mean", "median", "95%quantile")
Ana_W[1, ] = c((tempW %>% apply(1, mean) %>% mean),
               (tempW %>% apply(1, median) %>% mean),
               (tempW %>% apply(1, mean) %>% quantile(0.95)))
Ana_W[2, ] = c((tempWS %>% apply(1, mean) %>% mean),
               (tempWS %>% apply(1, median) %>% mean),
               (tempWS %>% apply(1, mean) %>% quantile(0.95)))

## analyze the marginal imbalance
tempM <- DH[(1 + prod(level_num) + 1) :
                (1 + prod(level_num) + sum(level_num)), ] %>% abs
tempMS <- DS[(1 + prod(level_num) + 1) :
                (1 + prod(level_num) + sum(level_num)), ] %>% abs
Ana_M <- matrix(NA, nrow = 2, ncol = 3)
rownames(Ana_M) <- c("NEW", "Shao")
colnames(Ana_M) <- c("mean", "median", "95%quantile")
Ana_M[1, ] = c((tempM %>% apply(1, mean) %>% mean),
               (tempM %>% apply(1, median) %>% mean),
               (tempM %>% apply(1, mean) %>% quantile(0.95)))
Ana_M[2, ] = c((tempMS %>% apply(1, mean) %>% mean),
               (tempMS %>% apply(1, median) %>% mean),
               (tempMS %>% apply(1, mean) %>% quantile(0.95)))

AnaHP <- list(Ana_O, Ana_M, Ana_W)
names(AnaHP) <- c("Overall", "Marginal", "Within-stratum")

AnaHP
```

StrBCD.sim   *Shao's Method in the Two-Arms Case with Covariate Data Generating*
                          Mechanism

Description

Allocates patients to one of two treatments using Shao's method proposed by Shao J, Yu X, Zhong B (2010) <Doi:10.1093/biomet/asq014>, by simulating covariate profiles under the assumption of independence between covariates and levels within each covariate.

Usage

```
## S3 method for class 'carandom'
StrBCD.sim(n = 1000, cov_num = 2, level_num = c(2, 2),
           pr = rep(0.5, 4), p = 0.85)
```

Arguments

n	the number of patients. The default is 1000.
cov_num	the number of covariates. The default is 2.
level_num	the vector of level numbers for each covariate. Hence the length of level_num should be equal to the number of covariates. The default is c(2,2).
pr	the vector of probabilities. Under the assumption of independence between covariates, pr is a vector containing probabilities for each level of each covariate. The length of pr should correspond to the number of all levels, and the vector sum of pr should be equal to cov_num. The default is pr = rep(0.5,4) (default), which implies that cov_num = 2 and level_num = c(2,2).
p	the probability of assigning one patient to treatment 1. p should be larger than 1/2 to obtain balance. The default is 0.85.

Details

See [StrBCD](#).

Value

See [StrBCD](#).

See Also

See [StrBCD](#) for allocating patients with complete covariate data; See [StrBCD.ui](#) for the command-line user interface.

StrBCD.ui *Command-line User Interface Using Shao's Method*

Description

A call to the user-interface function used to allocate patients to one of two treatments using Shao's method proposed by Shao J, Yu X, Zhong B (2010) <Doi:10.1093/biomet/asq014>.

Usage

```
## S3 method for class 'carseq'
StrBCD.ui(path, folder = "StrBCD")
```

Arguments

path	the path in which a folder used to storage variables will be created.
folder	name of the folder. If default, a folder named "StrBCD" will be created.

Details

See `StrBCD`.

Value

It returns an object of `class` "carseq".

The function `print` is used to obtain results. The generic accessor functions `assignment`, `covariate`, `cov_num`, `cov_profile` and others extract various useful features of the value returned by `StrBCD.ui`.

Note

This function provides a command-line interface and users should follow the prompts to enter data including covariates as well as levels for each covariate, biased probability `p` and the covariate profile of the new patient.

See Also

See `StrBCD` for allocating patients with complete covariate data; See `StrBCD.sim` for allocating patients with covariate data generating mechanism.

StrPBR	*Stratified Permuted Block Randomization*

Description

Allocates patients to one of two treatments using stratified permuted block randomization proposed by Zelen M (1974) <Doi: 10.1016/0021-9681(74)90015-0>.

Usage

```
## S3 method for class 'carandom'
StrPBR(data, bsize = 4)
```

Arguments

data	a dataframe. A row of the dataframe contains the covariate profile of a patient.
bsize	the block size for stratified randomization. It is required to be a multiple of 2. The default is 4.

Details

Different covariate profiles are defined to be strata, and then permuted block randomization is applied to each stratum. It works efficiently when the number of strata is small, but when the number of strata increases, the stratified permuted block randomization fails to obtain balance between two treatments.

`Permuted-block randomization`, or blocking, is used to balance treatment arms within a block so that there are the same number of subjects in each treatment arm. A block contains the same number of each treatment and blocks of different sizes are combined to make up the randomization list.

Value

It returns an object of [class](#) `"carandom"`.

The functions [print](#) is used to obtain results. The generic accessor functions `Cov_Assig`, `Diff`, `data`, `All strata` and others extract various useful features of the value returned by `StrPBR`.

An object of class `"carandom"` is a list containing at least the following components:

`cov_num`	the number of covariates.
`n`	the number of patients.
`Cov_Assign`	a (`cov_num` + 1) * n matrix containing covariate profiles for all patients and corresponding assignments. The ith column represents the ith patient. The first `cov_num` rows include patients' covariate profiles, and the last row contains the assignments.
`All strata`	a matrix containing all strata involved.
`Diff`	a matrix with only one column. There are final differences at the overall, within-stratum, and marginal levels.
`Data Type`	the data type. `Real` or `Simulated`.

References

Zelen M. *The randomization and stratification of patients to clinical trials*[J]. Journal of chronic diseases, 1974, 27(7): 365-375.

See Also

See [StrPBR.sim](#) for allocating patients with covariate data generating mechanism. See [StrPBR.ui](#) for the command-line user interface.

Examples

```
# a simple use
## Real Data
## creat a dataframe
df <- data.frame("gender" = sample(c("female", "male"), 100, TRUE, c(1 / 3, 2 / 3)),
                 "age" = sample(c("0-30", "30-50", ">50"), 100, TRUE),
                 "jobs" = sample(c("stu.", "teac.", "others"), 100, TRUE),
                 stringsAsFactors = TRUE)
```

```
Res <- StrPBR(data = df, bsize = 4)
## view the output
Res

## view all patients' profile and assignments
Res$Cov_Assig

## Simulated data
cov_num <- 3
level_num <- c(2, 3, 3)
pr <- c(0.4, 0.6, 0.3, 0.4, 0.3, 0.4, 0.3, 0.3)
Res.sim <- StrPBR.sim(n = 100, cov_num, level_num, pr)
## view the output
Res.sim

## view the detials of difference
Res.sim$Diff


N <- 5
n <- 1000
cov_num <- 3
level_num <- c(2, 3, 5)
# Set pr to follow two tips:
#(1) length of pr should be sum(level_num);
#(2)sum of probabilities for each margin should be 1.
pr <- c(0.4, 0.6, 0.3, 0.4, 0.3, rep(0.2, times = 5))
omega <- c(0.2, 0.2, rep(0.6 / cov_num, times = cov_num))
# Set block size for stratified randomization
bsize <- 4

## generate a container to contain Diff
DS <- matrix(NA, ncol = N, nrow = 1 + prod(level_num) + sum(level_num))
for(i in 1 : N){
  rtS <- StrPBR.sim(n, cov_num, level_num, pr, bsize)
  DS[ , i] <- rtS$Diff
}

## do some analysis
require(dplyr)

## analyze the overall imbalance
Ana_O <- matrix(NA, nrow = 1, ncol = 3)
rownames(Ana_O) <- c("Str.R")
colnames(Ana_O) <- c("mean", "median", "95%quantile")
tempS <- DS[1, ] %>% abs
Ana_O[1, ] <- c((tempS %>% mean), (tempS %>% median),
                (tempS %>% quantile(0.95)))
## analyze the within-stratum imbalances
tempWS <- DS[2 : 1 + prod(level_num), ] %>% abs
Ana_W <- matrix(NA, nrow = 1, ncol = 3)
rownames(Ana_W) <- c("Str.R")
colnames(Ana_W) <- c("mean", "median", "95%quantile")
```

```
Ana_W[1, ] = c((tempWS %>% apply(1, mean) %>% mean),
               (tempWS %>% apply(1, median) %>% mean),
               (tempWS %>% apply(1, mean) %>% quantile(0.95)))

## analyze the marginal imbalance
tempMS <- DS[(1 + prod(level_num) + 1) : (1 + prod(level_num) + sum(level_num)), ] %>% abs
Ana_M <- matrix(NA, nrow = 1, ncol = 3)
rownames(Ana_M) <- c("Str.R");
colnames(Ana_M) <- c("mean", "median", "95%quantile")
Ana_M[1, ] = c((tempMS %>% apply(1, mean) %>% mean),
               (tempMS %>% apply(1, median) %>% mean),
               (tempMS %>% apply(1, mean) %>% quantile(0.95)))

AnaHP <- list(Ana_O, Ana_M, Ana_W)
names(AnaHP) <- c("Overall", "Marginal", "Within-stratum")

AnaHP
```

StrPBR.sim   *Stratified Permuted Block Randomization with Covariate Data Generating Mechanism*

Description

Allocates patients to one of two treatments using stratified randomization proposed by Zelen M (1974) <Doi: 10.1016/0021-9681(74)90015-0>, by simulating covariates-profile on assumption of independence between covariates and levels within each covariate.

Usage

```
## S3 method for class 'carandom'
StrPBR.sim(n = 1000, cov_num = 2, level_num = c(2, 2),
           pr = rep(0.5, 4), bsize = 4)
```

Arguments

n	the number of patients. The default is 1000.
cov_num	the number of covariates. The default is 2.
level_num	the vector of level numbers for each covariate. Hence the length of level_num should be equal to the number of covariates. The default is c(2,2).
pr	the vector of probabilities. Under the assumption of independence between covariates, pr is a vector containing probabilities for each level of each covariate. The length of pr should correspond to the number of all levels, and the vector sum of pr should be equal to cov_num. The default is pr = rep(0.5,4) (default), which implies that cov_num = 2 and level_num = c(2,2).
bsize	the block size for the stratified randomization. It is required to be a multiple of 2. The default is 4.

Details

See StrPBR.

Value

See StrPBR.

See Also

See StrPBR for allocating patients with complete covariate data; See StrPBR.ui for the command-line user interface.

StrPBR.ui   *Command-line User Interface Using Stratified Permuted Block Randomization with Two-Arms Case*

Description

A call to the user-iterface function used to allocate patients to one of two treatments using stratified permuted block randomization proposed by Zelen M (1974) <Doi: 10.1016/0021-9681(74)90015-0>.

Usage

```
## S3 method for class 'carseq'
StrPBR.ui(path, folder = "StrPBR")
```

Arguments

path	the path in which a folder used to storage variables will be created.
folder	name of the folder. If default, a folder named "StrPBR" will be created.

Details

See StrPBR.

Value

It returns an object of class "carseq".

The function print is used to obtain results. The generic accessor functions assignment, covariate, cov_num, cov_profile and others extract various useful features of the value returned by StrPBR.ui.

Note

This function provides a command-line interface and users should follow the prompts to enter data including covariates as well as levels for each covariate, block size bsize and the covariate profile of the new patient.

See Also

See `StrPBR` for allocating patients with complete covariate data; See `StrPBR.sim` for allocating patients with covariate data generating mechanism.

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