## Package 'aml'

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Title Adaptive Mixed LASSO

Author Dong Wang

Maintainer Dong Wang <dwangstat@gmail.com>

**Depends** R (>= 2.10), lars

Suggests MASS

Description This package implements the adaptive mixed lasso (AML) method pro-

posed by Wang et al.(2011). AML applies adaptive lasso penalty to a large number of predictors, thus producing a sparse model, while accounting for the population structure in the linear mixed model framework. The package here is primarily designed for application to genome wide association studies or genomic prediction in plant breeding populations, though it could be applied to other settings of linear mixed models.

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aml.estimate

#### Description

This function calculate the genetic values using the result from amltest.

#### Usage

aml.estimate(fit, marker, response, kin)

#### Arguments

fit	An object generated by amltest to be used to calculate genetic values.
marker	A matrix or data frame for the markers (or genetic effects). It should be the same one used by amltest to generate fit.
response	A numerical vector of trait (phenotype) values. It should be the same one used by amltest to generate fit.
kin	The kinship matrix representing relationships between lines. It should be the same one used by amltest to generate fit.

#### Details

This function is used to genetic values, i.e., fitted phenotypic values using genetic marker information. It requires an adaptive mixed LASSO model has been fitted to the lines using amltest and the result is given in fit. Thus this function will only calculate genetic values for lines with observed phenotypic values. To make prediction for lines with only genetic information but no observed phenotypic values, use the function aml.pred.outside .

#### Value

A numeric vector containing estimated genetic values for lines analyzed by amltest to generate fit.

#### References

Wang, D., Eskridge, K.M. and Crossa, J. (2011) Identifying QTLs and Epistasis in Structured Plant Populations Using Adaptive Mixed LASSO. *Journal of Agricultural, Biological, and Environmental Statistics*, 16:170-184.

Wang, D., *et al.* (2012) Prediction of genetic values of quantitative traits with epistatic effects in plant breeding populations. *Heredity*, 109: 313-319.

#### See Also

amltest, aml.pred.outside.

#### aml.pred.outside

#### Examples

aml.pred.outside Prediction With Adaptive Mixed LASSO

#### Description

This function is used to predict the genetic values for lines with marker information after fitting adaptive mixed LASSO on a training set using amltest.

#### Usage

aml.pred.outside(marker, response, kin, which.pred, numkeep, selectvar)

#### Arguments

marker	A matrix or data frame for the markers (or genetic effects). It should include both lines with observed phenotypic information (those in the training set) and those lines for which the genetic values will be predicted.
response	A numerical vector of trait (phenotype) values, corresponding to the lines in marker. For lines for which prediction will be made, the trait values can simply be set to NA. But for lines to be used in the training set, the trait value cannot be missing.
kin	The kinship matrix representing relationships between lines. It should correspond to the rows in marker and represent the relationships between lines in the training set as well as those to be predicted.
which.pred	A vector of integers specifying for which lines (which rows in marker) the pre- diction should be made. Lines not in which.pred will be used as the training set.
numkeep	This parameter is passed to amltest. It should be less than the number of lines in the training set.
selectvar	This parameter is passed to amltest. It should be less than numkeep.

#### Details

This function uses both marker effects and kinship to predict genetic values. Thus the kinship matrix should include both lines in the training set and the lines on which predictions are to be made. An adaptive mixed LASSO model is fitted for the training set including lines not in which.pred. The regression coefficients provided by amltest are then used for prediction. Besides performing prediction for lines with genetic marker genotypes but no phenotypic values, this function is especially convenient for performing cross-validation.

#### Value

A list of the following:

predict.vl	The vector of predicted genetic values for lines specified in which.pred.
response.vl	The vector of observed phenotypic values for lines specified in which.pred This is useful for cross-validation when comparing predicted and observed val-
	ues. Otherwide it might be a vector of NAs.

#### References

Wang, D., Eskridge, K.M. and Crossa, J. (2011) Identifying QTLs and Epistasis in Structured Plant Populations Using Adaptive Mixed LASSO. *Journal of Agricultural, Biological, and Environmental Statistics*, 16:170-184.

Wang, D., *et al.* (2012) Prediction of genetic values of quantitative traits with epistatic effects in plant breeding populations. *Heredity*, 109: 313-319.

#### See Also

amltest, aml.estimate.

#### Examples

#### Description

Perform adaptive mixed LASSO analysis. The function is designed for association mapping or genomic prediction in structured populations, though other applications are possible.

#### Usage

amltest(response, marker, kin, numkeep=floor(length(response)\*.5), selectvar)

#### Arguments

response	A numerical vector of the trait (phenotype) to be analyzed.
marker	A matrix or data frame for the marker (or more generally, genetic effect) infor- mation. The number of rows should equal the number of lines and the number of columns should equal the number of markers. The values of each element should be between 0 and 1 with minor allele encoded as 1 and majority allele as 0. If minor allele is encoded as 1 instead for some markers, cleanclust can be used to re-encode it. The function cleanclust should also be used to preprocess the marker data to remove marker with a high proportion of missing values or very low minor allele frequency as well as impute missing values with the sample mean. It is also recommend that cleanclust be used to filter the markers so that no markers are highly correlated.
kin	The kinship matrix representing relationships between lines. It should be symmetric and positive definite, and have the number of rows and columns equal to the number of rows of marker.
numkeep	The number of markers that should be retained after the preliminary screening. It should be less than the number of lines. The default value is a half of the number of lines. see <i>Details</i> .
selectvar	The number of markers to be included in the model. Strictly speaking, it is the number of iterations for the fitting procedure. The number of markers in the output could be slightly less than selectvar. See <i>Details</i> .

#### Details

In adaptive mixed LASSO fitting, amltest first performs a preliminary screening to retain a set of markers (predictors) numbering at most numkeep, which should be less than the number of lines. This step relies on LASSO fitting using **lars**. The quantity numkeep is the maximum steps of iterations in LASSO fit. Due to the nature of the **lars** algorithm, the number of markers retained after the screening might be slightly less than numkeep. Then amltest will perform adaptive mixed LASSO fit by iteratively estimating the fixed effects and random effects up to the number of iterations defined by selectvar. Again, the number of markers in the output might be slightly less than selectvar as determined by the behavior of the **lars** algorithm. So if an exact number of markers are required in the model, some trial and error might be needed.

#### Value

A list containing the following:

estimate	A matrix of two columns. The first column indicates which column in marker is included in the model fit and the second column is the effect for each marker in the model.
AIC	A vector of AIC values for models using different number of markers. The first entry is for model with zero markers (only random line effects) and the last entry corresponding to the model with markers specified in estimate.
BIC	A vector of BIC values for models using different number of markers. The first entry is for model with zero markers (only random line effects) and the last entry corresponding to the model with markers specified in estimate.
EBIC	A vector of EBIC values for models using different number of markers. The first entry is for model with zero markers (only random line effects) and the last entry corresponding to the model with markers specified in estimate.
vars	The vector for variance components of random effects. The first entry is the genetic variance $\sigma_g^2$ and the second entry is the ratio of the error variance over the genetic variance. Thus the product of these two entries gives the error variance $\sigma_e^2$ .
mcount	The vector of the number of markers in each step. This is mainly used in conjunction with AIC, BIC, or EBIC.

#### References

Wang, D., Eskridge, K.M. and Crossa, J. (2011) Identifying QTLs and Epistasis in Structured Plant Populations Using Adaptive Mixed LASSO. *Journal of Agricultural, Biological, and Environmental Statistics*, 16:170-184.

Wang, D., *et al.* (2012) Prediction of genetic values of quantitative traits with epistatic effects in plant breeding populations. *Heredity*, 109: 313-319.

#### See Also

cleanclust.

#### Examples

```
## analyze the wheat data with main marker effects.
data("wheat")
clmarker<- cleanclust(wheat$marker, nafrac=0.2, mafb=0.1, corbnd=0.5, method="complete")
resmain <- amltest(wheat$y, clmarker$newmarker, wheat$A, numkeep=80, selectvar=40)</pre>
```

cleanclust

#### Description

Prepare marker data for use for amltest. This function can be used to remove markers with a high proportion of missing values, impute missing values with sample average, remove markers with very little variation, and if necessary, re-encode the minor allele as 1 and the majority allele as 0.

#### Usage

cleanclust(marker, nafrac=0.2, mafb=0.1, corbnd=0.5, method="complete")

#### Arguments

marker	A matrix or data frame for the marker information. The number of rows should equal the number of lines and the number of columns should equal the number of markers. The values of each element should be between 0 and 1 preferably with minor allele encoded as 1 and majority allele as 0. If minor allele is encoded as 1 instead for a marker, cleanclust change its value to 1 minus the original column. Each column has to have a unique name to identify the marker.
nafrac	The maximum proportion of missing values for a marker. Markers with higher proportion of missing values will be removed. The default is 0.2.
mafb	The minimum minor allele frequency, markers with lower minor allele fre- quency will be removed. The default is 0.1.
corbnd	The bound used for cutting the dendrogram after the hierarchical clustering, the default is 0.5. See <i>Details</i> .
method	The method of clustering passed to hclust. The values could be one of "complete", "average" or "single". The default is "complete".

#### Details

This is a simplified version of the Hclust method described in the paper *Characterization of Multilocus Linkage Disequilibrium* by Rinald,*et al.* (2005), tailored for use with amltest and other functions in this package. The R code for the original Hclust package can be find at http: //www.epic.Pitt.ed/Accompaniment/hclust/hclust.ht, which provides more functionality.

The function cleanclust provides two main utilities. The first is to clean and impute the marker data, including removing markers with a high proportion of missing values or very low minor allele frequency as well as impute the remaining missing values by the sample mean regarding each marker. The second is to remove some markers when necessary so that no markers will be highly correlated. Like other LASSO type method, the performance of adaptive mixed LASSO can be improved when predictors are not highly correlated. This process follows that of Rinald *et al.* (2005). The correlation between each pair of markers are calculated and  $r = 1 - cor^2$  is used as the distance between markers to perform hierarchical clustering with hclust. The resulted dendrogram is cut to form clusters according to the bound on  $cor^2$ , corbnd. Specifically, higher corbnd values

will result in less clusters being formed and less markers in the output. One marker is retained for each cluster in newmarker.

#### Value

A list containing the following:

newmarker	The new marker matrix after removing markers with a high proportion of miss- ing values or low minor allele frequency, with missing values replaced with sample means, and possibly removing some markers to avoid multiple highly correlated markers.
flip	A vector of marker names for which the minor allele and major allele has been flipped. Other functions in this package require the minor allele to be encoded as 1 and major allele as 0. If the opposite is the case for a marker, the value will be flipped and the marker name will be given in this vector.
tagged	A vector of integers indicating which columns (markers) from the original marker matrix is retained in newmarker.

#### References

Rinaldo, A., Bacanu, S.-A., Devlin, B., Sonpar, V., Wasserman, L. and Roeder, K. (2005), Characterization of multilocus linkage disequilibrium. *Genetic Epidemiology*, 28: 193-206.

Wang, D., Eskridge, K.M. and Crossa, J. (2011) Identifying QTLs and Epistasis in Structured Plant Populations Using Adaptive Mixed LASSO. *Journal of Agricultural, Biological, and Environmental Statistics*, 16:170-184.

Wang, D., *et al.* (2012) Prediction of genetic values of quantitative traits with epistatic effects in plant breeding populations. *Heredity*, 109: 313-319.

#### See Also

#### amltest.

#### Examples

```
## process the markers in the wheat data set.
data("wheat")
clmarker<- cleanclust(wheat$marker, nafrac=0.2, mafb=0.1, corbnd=0.5, method="complete")</pre>
```

epigen

#### Description

This function select specified number of markers using amltest and then forming a matrix including both main marker effects and two-way epistatic effects.

#### Usage

epigen(response, marker, kin, numkeep=floor(length(response)\*.5), selectvar, corbnd=0.5, mafb=0.04, method="complete")

#### Arguments

response	A numerical vector of the trait (phenotype) to be analyzed. It is passed to amltest.
marker	A matrix or data frame for the markers from which the main effects will be selected. The number of rows should equal the number of lines and the number of columns should equal the number of markers. The values of each element should be between 0 and 1 with minor allele encoded as 1 and majority allele as 0. If minor allele is encoded as 1 instead for some markers, cleanclust can be used to re-encode it. The function cleanclust should also be used to preprocess the marker data to remove marker with a high proportion of missing values or very low minor allele frequency as well as impute missing values with the sample mean. It is also recommend that cleanclust be used to filter the markers so that no markers are highly correlated. It is passed to amltest.
kin	The kinship matrix representing relationships between lines. It should be symmetric and positive definite, and have the number of rows and columns equal to the number of rows of marker. It is passed to amltest.
numkeep	The number of main marker effects that should be retained after the preliminary screening in amltest. It should be less than the number of lines. The default value is a half of the number of lines.
selectvar	The number of main marker effects to be included in the model. Strictly speaking, it is the number of iterations for the fitting procedure of amltest. The number of main marker effects that are retained could be slightly less than selectvar. See the documentation for amltest.
mafb	The minimum mean value of an effect. Effects with lower mean values (too many zeros) are removed. For a main marker effect, this is just the minimum value for minor allele frequency. The default is 0.04 and is passed to cleanclust.
corbnd	The bound used for cutting the dendrogram after the hierarchical clustering, the default is 0.5. See the documentation for cleanclust.

epigen

method The method of clustering passed to hclust. The values could be one of "complete", "average" or "single". The default is "complete". See the documentation for cleanclust.

#### Details

Since considering all two-way epistatic effects are not computationally feasible in most cases, amltest is called first to select a subset of markers with the most significant main effects. Then two-way epistatic effects are formed from these selected markers by taking the product of the two columns corresponding to each pair of markers. Subsequently, the cleanclust function is called to remove effects with very low mean values and also filter the effects such that no two effects are highly correlated. The resulted genetic effect matrix include both main effects and epistatic effects. It can then be used as input for amltest in the same manner as a marker matrix.

#### Value

A list containing the following:

effects	A matrix of both selected main marker effects and two-way epistatic effects.
marker1	A vector of names corresponding to the first marker in two-way epistatic effects given in effects, or the marker name for a main effect.
marker2	A vector of names corresponding to the second marker in two-way epistatic effects given in effects, or the marker name for a main effect.

#### References

Wang, D., Eskridge, K.M. and Crossa, J. (2011) Identifying QTLs and Epistasis in Structured Plant Populations Using Adaptive Mixed LASSO. *Journal of Agricultural, Biological, and Environmental Statistics*, 16:170-184.

Wang, D., *et al.* (2012) Prediction of genetic values of quantitative traits with epistatic effects in plant breeding populations. *Heredity*, 109: 313-319.

#### See Also

amltest, cleanclust.

#### Examples

wheat

#### Description

This data set gives the genetic marker information (DArT markers), phenotype, and the kinship matrix of a wheat breeding population. The list wheat contains three elelments, 'y', 'marker' and 'A'. The vector 'y' contains the phenotypic values for 282 wheat accessions, 'A' is a relationship (kinship) matrix of all the wheat accessions, and 'marker' is a 282 by 300 data frame for the genotype on 300 DArT markers for the wheat accessions.

#### Usage

data(wheat)

#### Format

A list of three elements.

#### Source

Nebraska Wheat Breeding

#### References

Wang, D., *et al.* (2012) Prediction of genetic values of quantitative traits with epistatic effects in plant breeding populations. *Heredity*, 109: 313-319.

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