# Package 'SUMMER'

July 10, 2020

Title Spatio-Temporal Under-Five Mortality Methods for Estimation Version 1.0.0 Date 2020-07-08 **Description** Provides methods for spatial and spatio-temporal smoothing of demographic and health indicators using survey data, with particular focus on estimating and projecting under-five mortality rates, described in Mercer et al. (2015) <doi:10.1214/15-AOAS872> and Li et al. (2019) <doi:10.1371/journal.pone.0210645>. URL https://github.com/richardli/SUMMER BugReports https://github.com/richardli/SUMMER/issues **Depends** R (>= 3.5) **License** GPL (>= 2) **Imports** maptools, survey, stats, spdep, survival, ggplot2, utils, Matrix, reshape2, viridis, sp, shadowtext, ggridges, methods, data.table, RColorBrewer, grDevices **Encoding** UTF-8 LazyData true RoxygenNote 6.1.1 Additional\_repositories https://inla.r-inla-download.org/R/testing/ Suggests INLA, knitr, rmarkdown, readstata13, patchwork, rdhs, R.rsp VignetteBuilder R.rsp, knitr NeedsCompilation no Author Zehang R Li [cre, aut], Bryan D Martin [aut], Yuan Hsiao [aut], Jessica Godwin [aut], Jon Wakefield [aut], Samuel J Clark [aut], Geir-Arne Fuglstad [aut], Andrea Riebler [aut]

Type Package

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Repository CRAN

**Date/Publication** 2020-07-09 23:00:21 UTC

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SUMMER-package

SUMMER package documentation.

## **Description**

SUMMER provides methods for spatial and spatio-temporal smoothing of demographic and health indicators using survey data, with particular focus on estimating and projecting under-five mortality rates.

#### **Details**

For details on the model implemented in this package, see Mercer et al. (2015) <doi:10.1214/15-AOAS872> and Li et al. (2019) <doi:10.1371/journal.pone.0210645>.

The development version of the package will be maintained on <a href="https://github.com/richardli/SUMMER">https://github.com/richardli/SUMMER</a>.

aggregateSurvey

Aggregate estimators from different surveys.

## **Description**

Aggregate estimators from different surveys.

#### Usage

aggregateSurvey(data)

## Arguments

data

Output from getDirectList

## Value

Estimators aggregated across surveys.

#### Author(s)

Zehang Richard Li

BRFSS

#### **Examples**

```
## Not run:
data(DemoData)
data(DemoMap)
years <- levels(DemoData[[1]]$time)</pre>
# obtain direct estimates
data <- getDirectList(births = DemoData,</pre>
years = years,
regionVar = "region", timeVar = "time",
clusterVar = "~clustid+id",
ageVar = "age", weightsVar = "weights",
geo.recode = NULL)
# obtain maps
geo <- DemoMap$geo
mat <- DemoMap$Amat</pre>
# Simulate hyper priors
priors <- simhyper(R = 2, nsamp = 1e+05, nsamp.check = 5000, Amat = mat, only.iid = TRUE)</pre>
# combine data from multiple surveys
data <- aggregateSurvey(data)</pre>
utils::head(data)
## End(Not run)
```

**BRFSS** 

The BRFSS dataset

## **Description**

The Behavioral Risk Factor Surveillance System (BRFSS) is an annual telephone health survey conducted by the Centers for Disease Control and Prevention (CDC) that tracks health conditions and risk behaviors in the United States and its territories since 1984. This BRFSS dataset contains 16124 observations. The 'diab2' variable is the binary indicator of Type II diabetes, 'strata' is the strata indicator and 'rwt\_llcp' is the final design weight. Records with missing HRA code or diabetes status are removed from this dataset. See http://www.cdc.gov/brfss/annual\_data/2013/pdf/Weighting\_Data.pdf for more details of the weighting procedure.

## Usage

data(BRFSS)

#### **Format**

A data frame of 26 variables.

Change Region 5

## **Description**

Map region names to a common set.

## Usage

```
ChangeRegion(data, Bmat, regionVar = "region")
```

## **Arguments**

data Preprocessed data

Bmat Matrix of changes. Each row corresponds to a region name possibly in the data

files, and each column corresponds to a region after mapping. The values in the matrix are binary. The row names and column names need to be specified to the

region names.

regionVar String indicating the region variable. Defaults to 'region'.

#### Value

Data after changing region names

## Author(s)

Zehang Richard Li

```
# Construct a small test data
testdata <- data.frame(region = c("north", "south", "east",
    "south", "east"), index = c(1:5))

# Construct a changing rule: combining south and east
Bmat <- matrix(c(1, 0, 0, 0, 1, 1), 3, 2)
colnames(Bmat) <- c("north", "south and east")
rownames(Bmat) <- c("north", "south", "east")
print(Bmat)

# New data after transformation
test <- ChangeRegion(testdata, Bmat, "region")
print(test)</pre>
```

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DemoData

Simulated child mortality person-month dataset.

## **Description**

A small simulated dataset with 4 regions and 5 survey years. This does not represent any real country's data and are based on a subset of the model dataset provided by DHS.

## Usage

```
data(DemoData)
```

#### **Format**

A list of with five components, named by survey year.

#### **Source**

https://dhsprogram.com/data/model-datasets.cfm

DemoData2

Simulated dataset for prevalence mapping.

## **Description**

A small fake dataset with 8 regions and two response variables: age and tobacco.use. This does not represent any real country's data and are based on a subset of the model dataset provided by DHS.

## Usage

```
data(DemoData2)
```

### **Format**

A data frame of 7 variables.

#### **Source**

https://dhsprogram.com/data/model-datasets.cfm

DemoMap 7

DemoMap

Uganda Admin-1 region map for illustration purpose

## **Description**

Shapefiles are from 1995 Uganda Admin 1 regions provided by DHS, but the data do not represent real information about any country.

## Usage

data(DemoMap)

## **Format**

An object of class list of length 2.

#### **Details**

- geo. Geographic map files
- Amat. Adjacency matrix for regions

#### **Source**

https://spatialdata.dhsprogram.com/boundaries/#view=table&countryId=UG

DemoMap2

Kenya Admin-1 region map for illustration purpose

## Description

Shapefiles are from 2014 Kenya Admin 1 regions provided by DHS.

#### Usage

data(DemoMap2)

#### **Format**

An object of class list of length 2.

## **Details**

- geo Geographic map files
- · Amat Adjacency matrix for regions

#### **Source**

https://spatialdata.dhsprogram.com/boundaries/#view=table&countryId=KE

8 getAdjusted

expit

Expit transformation

## **Description**

Expit transformation

## Usage

```
expit(x)
```

## Arguments

Х

data

#### Value

expit of x

## **Examples**

```
x <- .5
expit(x)</pre>
```

 ${\tt getAdjusted}$ 

Adjust direct estimates and their associated variances

## Description

Adjust direct estimates and their associated variances

## Usage

```
getAdjusted(data, ratio, time = "years", region = "region",
   est = "mean", logit = "logit.est", logit.var = "var.est",
   logit.prec = "logit.prec", logit.lower = "lower",
   logit.upper = "upper", prob.lower = NULL, prob.upper = NULL,
   adj = "ratio", verbose = FALSE, lower = NULL, upper = NULL)
```

getAdjusted 9

## Arguments

1. 4	
data	data frame of the adjusted estimates and the associated uncertainties, see the arguments below for specific columns.
ratio	the ratio of unadjusted mortality rates to the true mortality rates. It can be either a data frame with the following three columns (region, time, and adj) if adjustment factor differ by region; or a data frame with the following two columns (time and adj) if adjustment factor only varies over time. The column names specifying region, time and ratio, and adjustment are specified by the arguments in the function call.
time	the column name for time in the data and adjustment ratio.
region	the column name for region in the data and adjustment ratio.
est	the column name for unadjusted mortality rates in the data
logit	the column name for the logit of the unadjusted mortality rates in the data
logit.var	the column name for the variance of the logit of the unadjusted mortality rates in the data
logit.prec	the column name for the precision of the logit of the unadjusted mortality rates in the data
logit.lower	the column name for the $95\%$ lower bound of the logit of the unadjusted mortality rates in the data
logit.upper	the column name for the $95\%$ lower bound of the logit of the unadjusted mortality rates in the data
prob.lower	the column name for the 95% lower bound of the unadjusted mortality rates in the data. If this is provided instead of logit.lower, the logit scale lower bound will be created.
prob.upper	the column name for the 95% lower bound of the unadjusted mortality rates in the data. if this is provided instead of logit.upper, the logit scale upper bound will be created.
adj	the column name for the adjustment ratio
verbose	logical indicator for whether to print out unadjusted row index
lower	previous argument name for prob.lower. Will be removed in the next update
upper	previous argument name for prob.upper. Will be removed in the next update

## Value

adjusted dataset of the same columns.

## Author(s)

Zehang Richard Li

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#### **Examples**

```
## Not run:
years <- levels(DemoData[[1]]$time)</pre>
# obtain direct estimates
data <- getDirectList(births = DemoData,</pre>
years = years,
regionVar = "region", timeVar = "time",
clusterVar = "~clustid+id",
ageVar = "age", weightsVar = "weights",
geo.recode = NULL)
# obtain direct estimates
data_multi <- getDirectList(births = DemoData, years = years,</pre>
  regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
  ageVar = "age", weightsVar = "weights", geo.recode = NULL)
data <- aggregateSurvey(data_multi)</pre>
# randomly simulate adjustment factor
adj <- expand.grid(region = unique(data$region), years = years)</pre>
adjratio <- runif(dim(adj)[1], min = 0.5, max = 0.8)
data.adj <- getAdjusted(data = data, ratio = adj)</pre>
## End(Not run)
```

getAmat

Extract adjacency matrix from the map

## Description

Extract adjacency matrix from the map

#### Usage

```
getAmat(geo, names)
```

#### Arguments

geo SpatialPolygonsDataFrame of the map

names character vector of region ids to be added to the neighbours list

#### Value

Spatial djacency matrix.

## Author(s)

Zehang Richard Li

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## **Examples**

```
data(DemoMap)
mat <- getAmat(geo = DemoMap$geo, names = DemoMap$geo$REGNAME)
mat
DemoMap$Amat</pre>
```

getBirths

Reformat full birth records into person-month format

## **Description**

Reformat full birth records into person-month format

[48, 60).

## Usage

```
getBirths(filepath = NULL, data = NULL, surveyyear = NA,
  variables = c("caseid", "v001", "v002", "v004", "v005", "v021", "v022",
  "v023", "v024", "v025", "v139", "bidx"), strata = c("v024", "v025"),
  dob = "b3", alive = "b5", age = "b7", date.interview = "v008",
  month.cut = c(1, 12, 24, 36, 48, 60), year.cut = seq(1980, 2020, by =
  5), min.last.period = 0, cmc.adjust = 0, compact = FALSE,
  compact.by = c("v001", "v024", "v025", "v005"))
```

## **Arguments**

filepath	file path of raw .dta file from DHS. Only used when data frame is not provided in the function call.
data	data frame of a DHS survey
surveyyear	year of survey. Since version 0.3.0, this argument does not truncate observations. The truncation of person-month is based on year.cut and min.last.period.
variables	vector of variables to be used in obtaining the person-month files. The variables correspond the the DHS recode manual VI. For early DHS data, the variable names may need to be changed.
strata	vector of variable names used for strata. If a single variable is specified, then that variable will be used as strata indicator If multiple variables are specified, the interaction of these variables will be used as strata indicator.
dob	variable name for the date of birth.
alive	variable name for the indicator of whether child was alive or dead at the time of interview.
age	variable name for the age at death of the child in completed months.
date.interview	variable name for the date of interview.
month.cut	the cutoff of each bins of age group in the unit of months. Default values are 1,

12, 24, 36, 48, and 60, representing the age groups (0, 1), [1, 12), [12, 24), ...,

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year.cut

The cutoff of each bins of time periods, including both boundaries. Default values are 1980, 1985, ..., 2020, representing the time periods 80-84, 85-89, ..., 15-19. Notice that if each bin contains one year, the last year in the output is max(year.cut)-1. For example, if year.cut = 1980:2020, the last year in the output is 2019.

min.last.period

The cutoff for how many years the last period must contain in order to be counted in the output. For example, if the last period is 2015-2019 and min.last.period = 3, person-months for the last period will only be returned if survey contains observations at least in 2017. This argument avoids the situation that estimates for the last period being based on only a small number of initial years, if applicable. Default to be 0.

cmc.adjust

number of months to add to the recorded month in the dataset. Some DHS surveys does not use Gregorian calendar (the calendar used in most of the world). For example, the Ethiopian calendar is 92 months behind the Gregorian calendar in general. Then we can set cmc.adjust to 92, which adds 92 months to all dates in the dataset, effectively transforming the Ethiopian calendar to the Gregorian calendar.

compact

logical indicator of whether the compact format is returned. In the compact output, person months are aggregated by cluster, age, and time. Total number of person months and deaths in each group are returned instead of the raw personmonths.

compact.by

vector of variables to summarize the compact form by.

### Value

This function returns a new data frame where each row indicate a person-month, with the additional variables specified in the function argument.

#### Author(s)

Zehang Richard Li, Bryan Martin, Laina Mercer

#### References

Li, Z., Hsiao, Y., Godwin, J., Martin, B. D., Wakefield, J., Clark, S. J., & with support from the United Nations Inter-agency Group for Child Mortality Estimation and its technical advisory group. (2019). Changes in the spatial distribution of the under-five mortality rate: Small-area analysis of 122 DHS surveys in 262 subregions of 35 countries in Africa. PloS one, 14(1), e0210645.

Mercer, L. D., Wakefield, J., Pantazis, A., Lutambi, A. M., Masanja, H., & Clark, S. (2015). *Space-time smoothing of complex survey data: small area estimation for child mortality.* The annals of applied statistics, 9(4), 1889.

```
## Not run:
my_fp <- "/myExampleFilepath/surveyData.DTA"
DemoData <- getBirths(filepath = my_fp, surveyyear = 2015)</pre>
```

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```
## End(Not run)
```

getCounts

Aggregate person-month data into counts and totals by groups.

## **Description**

Aggregate person-month data into counts and totals by groups.

## Usage

```
getCounts(data, variables, by, ignore = NULL, addtotal = TRUE,
  drop = TRUE)
```

## **Arguments**

data dataset in person-month format

variables a character vector of the variables to aggregate

by a character vector of columns that specifies which groups to aggregate by.

ignore list of conditions not to impute 0. If left unspecified, any group levels not in the

data will be imputed to have 0 counts.

addtotal logical indicator of whether to add a column of group total counts.

drop logical indicator of whether to drop all rows with total = 0.

#### Value

data.frame of the ggregated counts.

#### Author(s)

Zehang Richard Li

```
# a toy dataset with 4 time periods but one missing in data timelist <- factor(1:4) data = data.frame(died = c(0,0,0,1,1,0,0), area = c(rep(c("A", "B"), 3), "A"), time = timelist[c(1,1,2,3,3,3,3)]) data # without ignore argument, all levels will be imputed getCounts(data, variables = "died", by = c("area", "time"))
```

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```
# ignoring time = 4, the ignored level will not be imputed (but still in the output) getCounts(data, variables = "died", by = c("area", "time"), ignore = list("time"=c(4)))
```

getDiag

Extract posterior summaries of random effects

#### **Description**

Extract posterior summaries of random effects

## Usage

```
getDiag(inla_mod, field = c("space", "time", "spacetime")[1],
  CI = 0.95, draws = NULL, ...)
```

#### **Arguments**

field which random effects to plot. It can be one of the following: space, time, and

spacetime.

CI Desired level of credible intervals

draws Posterior samples drawn from the fitted model. This argument allows the previ-

ously sampled draws (by setting save.draws to be TRUE) be used in new aggre-

gation tasks.

... Unused arguments, for users with fitted object from the package before v1.0.0,

arguments including Amat, year\_label, and year\_range can still be specified

manually.

## Value

List of diagnostic plots

## Author(s)

Zehang Richard Li

```
## Not run:
   data(DemoMap)
   years <- levels(DemoData[[1]]$time)

# obtain direct estimates
   data <- getDirectList(births = DemoData,</pre>
```

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```
years = years,
 regionVar = "region", timeVar = "time",
 clusterVar = "~clustid+id",
 ageVar = "age", weightsVar = "weights",
 geo.recode = NULL)
 # obtain direct estimates
 data_multi <- getDirectList(births = DemoData, years = years,</pre>
    regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
    ageVar = "age", weightsVar = "weights", geo.recode = NULL)
 data <- aggregateSurvey(data_multi)</pre>
 # national model
 years.all <- c(years, "15-19")</pre>
 fit1 <- smoothDirect(data = data, geo = DemoMap$geo, Amat = DemoMap$Amat,</pre>
   year_label = years.all, year_range = c(1985, 2019),
    rw = 2, is.yearly=FALSE, m = 5)
random.time <- getDiag(fit1, field = "time")</pre>
 random.space <- getDiag(fit1, field = "space")</pre>
 random.spacetime <- getDiag(fit1, field = "spacetime")</pre>
## End(Not run)
```

getDirect

Obtain the Horvitz-Thompson direct estimates and standard errors using delta method for a single survey.

#### **Description**

Obtain the Horvitz-Thompson direct estimates and standard errors using delta method for a single survey.

#### Usage

```
getDirect(births, years, regionVar = "region", timeVar = "time",
  clusterVar = "~v001+v002", ageVar = "age", weightsVar = "v005",
  Ntrials = NULL, geo.recode = NULL, national.only = FALSE)
```

## Arguments

births A matrix child-month data from getBirths
years String vector of the year intervals used

regionVar Variable name for region in the input births data.

timeVar Variable name for the time period indicator in the input births data.

clusterVar Variable name for cluster, typically '~v001 + v002'

ageVar Variable name for age group. This variable need to be in the form of "a-b" where

a and b are both ages in months. For example, "1-11" means age between 1 and 11 months, including both end points. An exception is age less than one month

can be represented by "0" or "0-0".

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weightsVar	Variable name for sampling weights, typically 'v005'
Ntrials	Variable for the total number of person-months if the input data (births) is in the compact form.
geo.recode	The recode matrix to be used if region name is not consistent across different surveys. See ChangeRegion.
national.only	Logical indicator to obtain only the national estimates

#### Value

a matrix of period-region summary of the Horvitz-Thompson direct estimates by region and time period specified in the argument, the standard errors using delta method for a single survey, the 95% confidence interval, and the logit of the estimates.

#### Author(s)

Zehang Richard Li, Bryan Martin, Laina Mercer

#### References

Li, Z., Hsiao, Y., Godwin, J., Martin, B. D., Wakefield, J., Clark, S. J., & with support from the United Nations Inter-agency Group for Child Mortality Estimation and its technical advisory group. (2019). Changes in the spatial distribution of the under-five mortality rate: Small-area analysis of 122 DHS surveys in 262 subregions of 35 countries in Africa. PloS one, 14(1), e0210645.

Mercer, L. D., Wakefield, J., Pantazis, A., Lutambi, A. M., Masanja, H., & Clark, S. (2015). *Spacetime smoothing of complex survey data: small area estimation for child mortality.* The annals of applied statistics, 9(4), 1889.

#### See Also

```
getDirectList
```

```
## Not run:
data(DemoData)
years <- c("85-89", "90-94", "95-99", "00-04", "05-09", "10-14")
mean <- getDirect(births = DemoData[[1]], years = years,
regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
ageVar = "age", weightsVar = "weights", geo.recode = NULL)
## End(Not run)</pre>
```

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Obtain the Horvitz-Thompson direct estimates and standard errors us-
ing delta method for multiple surveys.

## Description

Obtain the Horvitz-Thompson direct estimates and standard errors using delta method for multiple surveys.

## Usage

```
getDirectList(births, years, regionVar = "region", timeVar = "time",
  clusterVar = "~v001+v002", ageVar = "age", weightsVar = "v005",
  Ntrials = NULL, geo.recode = NULL, national.only = FALSE)
```

## **Arguments**

births	A list of child-month data from multiple surveys from getBirths. The name of the list is used as the identifier in the output.
years	String vector of the year intervals used
regionVar	Variable name for region, typically 'v024', for older surveys might be 'v101'
timeVar	Variable name for the time period indicator in the input births data.
clusterVar	Variable name for the IDs in the second-stage cluster sampling, typically ' $\sim$ v001 + v002', i.e., the cluster number and household number. When no cluster sampling design exists, this variable usually is the household ID.
ageVar	Variable name for age group. This variable need to be in the form of "a-b" where a and b are both ages in months. For example, "1-11" means age between 1 and 11 months, including both end points. An exception is age less than one month can be represented by "0" or "0-0".
weightsVar	Variable name for sampling weights, typically 'v005'
Ntrials	Variable for the total number of person-months if the input data (births) is in the compact form.
geo.recode	The recode matrix to be used if region name is not consistent across different surveys. See ChangeRegion.
national.only	Logical indicator to obtain only the national estimates

## Value

This is the extension to the getDirect function that returns estimates from multiple surveys. Additional columns in the output (survey and survey Years) specify the estimates from different surveys.

## Author(s)

Zehang Richard Li, Bryan Martin, Laina Mercer

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#### References

Li, Z., Hsiao, Y., Godwin, J., Martin, B. D., Wakefield, J., Clark, S. J., & with support from the United Nations Inter-agency Group for Child Mortality Estimation and its technical advisory group. (2019). Changes in the spatial distribution of the under-five mortality rate: Small-area analysis of 122 DHS surveys in 262 subregions of 35 countries in Africa. PloS one, 14(1), e0210645.

Mercer, L. D., Wakefield, J., Pantazis, A., Lutambi, A. M., Masanja, H., & Clark, S. (2015). *Spacetime smoothing of complex survey data: small area estimation for child mortality.* The annals of applied statistics, 9(4), 1889.

#### See Also

getDirect

#### **Examples**

```
## Not run:
data(DemoData)
years <- c("85-89", "90-94", "95-99", "00-04", "05-09", "10-14")
mean <- getDirectList(births = DemoData, years = years,
regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
ageVar = "age", weightsVar = "weights", geo.recode = NULL)
## End(Not run)</pre>
```

getSmoothed

Extract smoothed estimates.

## **Description**

Extract smoothed estimates.

#### Usage

```
getSmoothed(inla_mod, nsim = 1000, weight.strata = NULL,
  weight.frame = NULL, verbose = FALSE, mc = 0,
  include_time_unstruct = FALSE, CI = 0.95, draws = NULL,
  save.draws = FALSE, include_subnational = TRUE, ...)
```

#### **Arguments**

inla\_mod output from smoothDirect or smoothCluster

nsim number of simulations, only applicable for the cluster-level model.

weight.strata a data frame with three columns, years, region, and proportion of each strata for the corresponding time period and region. This argument specifies the weights

for strata-specific estimates on the probability scale.

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weight.frame

a data frame with three columns, years, region, and the weight of each frame for the corresponding time period and region. This argument specifies the weights for frame-specific estimates on the logit scale. Notice this is different from weight.strata argument.

verbose

 $logical\ indicator\ whether\ to\ print\ progress\ messages\ from\ inla. posterior. sample.$ 

mc

number of monte carlo draws to approximate the marginal prevalence/hazards for binomial model. If mc = 0, analytical approximation is used. The analytical approximation is invalid for hazard modeling with more than one age groups.

include\_time\_unstruct

Indicator whether to include the temporal unstructured effects (i.e., shocks) in the smoothed estimates. Default is FALSE which excludes all unstructured temporal components. If set to TRUE all the unstructured temporal random effects will be included. Alternatively, if this is specified as a vector of subset of year labels (as in the year\_label argument), only the unstructured terms in the corresponding time periods will be added to the prediction.

CI Desired level of credible intervals

draws Posterior samples drawn from the fitted model. This argument allows the previ-

ously sampled draws (by setting save.draws to be TRUE) be used in new aggre-

gation tasks.

save.draws Logical indicator whether the raw posterior draws will be saved. Saved draws

can be used to accelerate aggregations with different weights.

include\_subnational

logical indicator whether to include the spatial and space-time interaction components in the smoothed estimates. If set to FALSE, only the main temporal

trends are returned.

... Unused arguments, for users with fitted object from the package before v1.0.0, arguments including Amat, year\_label, and year\_range can still be specified

manually.

#### Value

A data frame or a list of data frames of S3 class SUMMERproj, which contains the smoothed estimates.

#### Author(s)

Zehang Richard Li

#### See Also

```
plot.SUMMERproj
```

```
## Not run:
years <- levels(DemoData[[1]]$time)</pre>
```

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```
# obtain direct estimates
data <- getDirectList(births = DemoData,</pre>
years = years,
regionVar = "region", timeVar = "time",
clusterVar = "~clustid+id",
ageVar = "age", weightsVar = "weights",
geo.recode = NULL)
# obtain direct estimates
data_multi <- getDirectList(births = DemoData, years = years,</pre>
  regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
  ageVar = "age", weightsVar = "weights", geo.recode = NULL)
data <- aggregateSurvey(data_multi)</pre>
# national model
years.all <- c(years, "15-19")</pre>
fit1 <- smoothDirect(data = data, Amat = NULL,</pre>
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=FALSE, m = 5)
out1 <- getSmoothed(fit1)</pre>
plot(out1, is.subnational=FALSE)
# subnational model
fit2 <- smoothDirect(data = data, Amat = mat,</pre>
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=TRUE, m = 5, type.st = 4)
out2 <- getSmoothed(fit2)</pre>
plot(out2, is.yearly=TRUE, is.subnational=TRUE)
## End(Not run)
```

hatchPlot

Plot maps with uncertainty hatching.

#### **Description**

This function visualizes the map with different variables. The input data frame can be either the long or wide format.

#### **Usage**

```
hatchPlot(data, variables, values = NULL, labels = NULL, geo, by.data,
by.geo, is.long = FALSE, lower, upper, lim = NULL, lim.CI = NULL,
breaks.CI = NULL, ncol = 4, hatch = NULL, border = NULL,
size = 1, legend.label = NULL, per1000 = FALSE, direction = 1,
...)
```

hatchPlot 21

#### **Arguments**

data a data frame with variables to be plotted vector of variables to be plotted. If long format of data is used, only one variable variables can be selected values the column corresponding to the values to be plotted, only used when long format of data is used labels vector of labels to use for each variable, only used when wide format of data is used SpatialPolygonsDataFrame object for the map geo column name specifying region names in the data by.data variable name specifying region names in the data by.geo is.long logical indicator of whether the data is in the long format, default to FALSE lower column name of the lower bound of the CI column name of the upper bound of the CI upper lim fixed range of values for the variables to plot lim.CI fixed range of the CI widths to plot breaks.CI a vector of numerical values that decides the breaks in the CI widths to be shown ncol number of columns for the output tabs hatch color of the hatching lines. border color of the polygon borders. size line width of the polygon borders. Label for the color legend. legend.label per1000 logical indicator to plot mortality rates as rates per 1,000 live births. Note that the added comparison data should always be in the probability scale. direction Direction of the color scheme. It can be either 1 (smaller values are darker) or -1 (higher values are darker). Default is set to 1.

#### Author(s)

Zehang Richard Li, Katie Wilson

## **Examples**

```
## Not run:
years <- levels(DemoData[[1]]$time)

# obtain direct estimates
data <- getDirectList(births = DemoData,
years = years,
regionVar = "region", timeVar = "time",
clusterVar = "~clustid+id",
ageVar = "age", weightsVar = "weights",</pre>
```

unused.

22 iid.new.pc

```
geo.recode = NULL)
# obtain direct estimates
data_multi <- getDirectList(births = DemoData, years = years,</pre>
  regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
  ageVar = "age", weightsVar = "weights", geo.recode = NULL)
data <- aggregateSurvey(data_multi)</pre>
fit2 <- smoothDirect(data = data, geo = geo, Amat = mat,</pre>
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=TRUE, m = 5, type.st = 4)
out2 <- getSmoothed(fit2)</pre>
plot(out2, is.yearly=TRUE, is.subnational=TRUE)
hatchPlot(data = subset(out2, is.yearly==FALSE), geo = geo,
variables=c("years"), values = c("median"),
by.data = "region", by.geo = "REGNAME",
lower = "lower", upper = "upper", is.long=TRUE)
## End(Not run)
```

iid.new

New random IID models for m-year to period random effects

## **Description**

New random IID models for m-year to period random effects

## Usage

```
iid.new(cmd = c("graph", "Q", "mu", "initial", "log.norm.const",
    "log.prior", "quit"), theta = NULL)
```

#### **Arguments**

cmd list of model components

theta log precision

iid.new.pc

New random IID models for m-year to period random effects

## Description

New random IID models for m-year to period random effects

KenData 23

#### Usage

```
iid.new.pc(cmd = c("graph", "Q", "mu", "initial", "log.norm.const",
   "log.prior", "quit"), theta = NULL)
```

#### **Arguments**

cmd list of model components

theta log precision

KenData

Auxiliary data for Kenya 2014 DHS.

#### **Description**

The list contains several data frames.

## Usage

data(KenData)

#### **Format**

An object of class list of length 4.

#### **Details**

- HIV2014, a data frame with three columns: years (in five year periods), region (8 Admin-1 region groups), and the estimated bias of the reported U5MR due to HIV for each 5 year period from 1990-1994 to 2010-2014. The bias is represented as the ratio of the reported U5MR to the true U5MR.
- HIV2014.yearly, a data frame with three columns: years (in one year interval), region (8 Admin-1 region groups), and the estimated bias of the reported U5MR due to HIV for each year from 1980 to 2014. The bias is represented as the ratio of the reported U5MR to the true U5MR.
- IGME2019. Yearly Estimates of national under-5 child mortality in Kenya from the 2019 UN-IGME estimates.
- UrbanProp. Proportion of urban population by county and total population by county. Source: 2009 Kenya Population and Housing Census, and Table A2 of Kenya 2014 DHS report.

#### References

Neff Walker, Kenneth Hill, and Fengmin Zhao (2012) Child mortality estimation: methods used to adjust for bias due to aids in estimating trends in under-five mortality., PLoS Medicine, 9(8):e1001298.

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KingCounty

Map of King County

## Description

Shapefiles are King County in the Washington States.

## Usage

KingCounty

## **Format**

An object of class SpatialPolygonsDataFrame with 48 rows and 9 columns.

logit

 $Logit\ transformation$ 

## Description

Logit transformation

## Usage

logit(x)

## Arguments

Χ

data

## Value

logit of x

## Examples

x <- .5
logit(x)</pre>

MalawiData 25

MalawiData

Auxiliary data for Malawi 2000, 2004, 2010, and 2015 DHS.

#### **Description**

The list contains several data frames.

#### Usage

data(MalawiData)

#### **Format**

An object of class list of length 4.

#### **Details**

- HIV, a data frame with three columns: years (in five year periods), survey, and the estimated bias of the reported U5MR due to HIV for each 5 year period. The bias is represented as the ratio of the reported U5MR to the true U5MR.
- HIV.yearly, a data frame with three columns: years (in one year interval), survey, and the estimated bias of the reported U5MR due to HIV for each year. The bias is represented as the ratio of the reported U5MR to the true U5MR.
- IGME2019. Yearly Estimates of national under-5 child mortality in Malawi from the 2019 UN-IGME estimates.
- IGME2019.nmr. Yearly Estimates of national neonatal mortality in Malawi from the 2019 UN-IGME estimates.

#### References

Neff Walker, Kenneth Hill, and Fengmin Zhao (2012) Child mortality estimation: methods used to adjust for bias due to aids in estimating trends in under-five mortality., PLoS Medicine, 9(8):e1001298.

MalawiMap

Malawi Admin-2 map

#### **Description**

SpatialPolygonsDataFrame objects that reflect the Admin 2 regions in Malawi, including the Likoma island. The Admin 2 region names are in the ADM2\_EN field.

### Usage

data(MalawiMap)

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## **Format**

An object of class SpatialPolygonsDataFrame with 28 rows and 14 columns.

mapPlot	Plot region-level variables on a map	

## **Description**

This function visualizes the map with different variables. The input data frame can be either the long or wide format.

## Usage

```
mapPlot(data = NULL, variables, values = NULL, labels = NULL, geo,
  by.data, by.geo, is.long = FALSE, size = 0.5, removetab = FALSE,
  border = "gray20", ncol = NULL, ylim = NULL, legend.label = NULL,
  per1000 = FALSE, clean = TRUE, size.label = 2, add.adj = FALSE,
  color.adj = "red", alpha.adj = 0.85, direction = 1)
```

## **Arguments**

data	a data frame with variables to be plotted. When it is null, a map is produced.
variables	vector of variables to be plotted. If long format of data is used, only one variable can be selected
values	the column corresponding to the values to be plotted, only used when long format of data is used
labels	vector of labels to use for each variable, only used when wide format of data is used
geo	SpatialPolygonsDataFrame object for the map
by.data	column name specifying region names in the data
by.geo	variable name specifying region names in the data
is.long	logical indicator of whether the data is in the long format, default to FALSE
size	size of the border
removetab	logical indicator to not show the tab label, only applicable when only one tab is present.
border	color of the border
ncol	number of columns for the output tabs
ylim	range of the values to be plotted.
legend.label	Label for the color legend.
per1000	logical indicator to plot mortality rates as rates per 1,000 live births. Note that the added comparison data should always be in the probability scale.
clean	remove all coordinates for a cleaner layout, default to TRUE.

mapPoints 27

size.label	size of the label of the regions.
add.adj	logical indicator to add edges between connected regions.
color.adj	color of the adjacency matrix edges.
alpha.adj	alpha level (transparency) of the adjacency matrix edges.
direction	Direction of the color scheme. It can be either 1 (smaller values are darker) or -1 (higher values are darker). Default is set to 1.

#### Author(s)

Zehang Richard Li

## **Examples**

```
## Not run:
data(DemoMap)
# Plotting data in the long format
dat <- data.frame(region = rep(c("central", "eastern", "northern", "western"), 3),</pre>
year = rep(c(1980, 1990, 2000), each = 4),
values = stats::rnorm(12))
utils::head(dat)
mapPlot(dat, variables = "year", values = "values",
by.data = "region", geo = DemoMap$geo,
by.geo = "NAME_final", is.long = TRUE)
dat <- data.frame(region = c("central", "eastern", "northern", "western"),</pre>
Year1 = stats::rnorm(4), Year2 = stats::rnorm(4),
Year3 = stats::rnorm(4))
utils::head(dat)
mapPlot(dat, variables = c("Year1", "Year2", "Year3"),
labels = c(1980, 1990, 2000),
by.data = "region", geo = DemoMap$geo,
by.geo = "NAME_final", is.long = FALSE)
## End(Not run)
```

mapPoints

Map GPS points to polygon regions

### **Description**

Map GPS points to polygon regions

## Usage

```
mapPoints(data, geo, long, lat, names)
```

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## **Arguments**

data	point data with two columns of GPS locations.
geo	SpatialPolygonsDataFrame of the map
long	column name for longitudinal coordinate in the data
lat	column name for latitude coordinate in the data
names	character vector of region ids to be added to the neighbours list

## Value

Spatial djacency matrix.

#### Author(s)

Zehang Richard Li

## **Examples**

```
data(DemoMap) dat <- data.frame(ID = c(1,2,3), lon = c(32.2, 33.7, 33), lat = c(0.1, 0.9, 2.8)) dat2 <- mapPoints(dat, DemoMap$geo, long = "lon", lat = "lat", names = "REGNAME") dat2
```

plot.SUMMERproj

Plot projection output.

## Description

Plot projection output.

#### Usage

```
## S3 method for class 'SUMMERproj'
plot(x, year_label = c("85-89", "90-94", "95-99",
   "00-04", "05-09", "10-14", "15-19"), year_med = c(1987, 1992, 1997,
   2002, 2007, 2012, 2017), is.subnational = TRUE, proj_year = 2015,
   data.add = NULL, option.add = list(point = NULL, lower = NULL, upper
   = NULL, by = NULL), color.add = "black", label.add = NULL,
   dodge.width = 1, plot.CI = NULL, per1000 = FALSE,
   color.CI = NULL, alpha.CI = 0.5, ...)
```

plot.SUMMERproj 29

## Arguments

х	output from getSmoothed
year_label	labels for the periods
year_med	labels for the middle years in each period, only used when both yearly and period estimates are plotted. In that case, year_med specifies where each period estimates are aligned.
is.subnational	logical indicator of whether the data contains subnational estimates
proj_year	the first year where projections are made, i.e., where no data are available.
data.add	data frame for the Comparisons data points to add to the graph. This can be, for example, the raw direct estimates. This data frame is merged to the projections by column 'region' and 'years'. Except for these two columns, this dataset should not have Comparisons columns with names overlapping the getSmoothed output.
option.add	list of options specifying the variable names for the points to plot, lower and upper bounds, and the grouping variable. This is intended to be used to add Comparisons estimates on the same plot as the smoothed estimates. See examples for details.
color.add	the color of the Comparisons data points to plot.
label.add	the label of the Comparisons data points in the legend.
dodge.width	the amount to add to data points at the same year to avoid overlap. Default to be 1.
plot.CI	logical indicator of whether to plot the error bars.
per1000	logical indicator to plot mortality rates as rates per 1,000 live births. Note that the added comparison data should always be in the probability scale.
color.CI	the color of the error bars of the credible interval.
alpha.CI	the alpha (transparency) of the error bars of the credible interval.
	optional arguments, see details

## **Details**

Examples of some arguments:

- year\_labelstring of year labels, e.g., c("85-89","90-94","95-99","00-04","05-09","10-14","15-19") or c(1985:2019)
- proj\_yearthe year projection starts, e.g., 2015
- year\_med median of year intervals, e.g., c(1987,1992,1997,2002,2007,2012,2017)

## Author(s)

Zehang Richard Li

## See Also

getSmoothed

30 ridgePlot

#### **Examples**

```
## Not run:
years <- levels(DemoData[[1]]$time)</pre>
# obtain direct estimates
data <- getDirectList(births = DemoData,</pre>
years = years,
regionVar = "region", timeVar = "time",
clusterVar = "~clustid+id",
ageVar = "age", weightsVar = "weights",
geo.recode = NULL)
# obtain direct estimates
data_multi <- getDirectList(births = DemoData, years = years,</pre>
  regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
  ageVar = "age", weightsVar = "weights", geo.recode = NULL)
data <- aggregateSurvey(data_multi)</pre>
# national model
years.all <- c(years, "15-19")</pre>
fit1 <- smoothDirect(data = data, geo = NULL, Amat = NULL,
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=FALSE, m = 5)
out1 <- getSmoothed(fit1)</pre>
plot(out1, is.subnational=FALSE)
# subnational model
fit2 <- smoothDirect(data = data, geo = geo, Amat = mat,</pre>
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=TRUE, m = 5, type.st = 4)
out2 <- getSmoothed(fit2)</pre>
plot(out2, is.yearly=TRUE, is.subnational=TRUE)
## End(Not run)
```

ridgePlot

Calculate and plot posterior densities of the projected estimates

#### **Description**

The function ridgePlot replaces the previous function name getSmoothedDensity (before version 1.0.0).

#### Usage

```
ridgePlot(x = NULL, nsim = 1000, draws = NULL, Amat = NULL,
  year_plot = NULL, strata_plot = NULL, by.year = TRUE, ncol = 4,
```

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```
scale = 2, per1000 = FALSE, order = 0, direction = 1,
results = NULL, ...)

getSmoothedDensity(x = NULL, nsim = 1000, draws = NULL,
   Amat = NULL, year_plot = NULL, strata_plot = NULL,
   by.year = TRUE, ncol = 4, scale = 2, per1000 = FALSE,
   order = 0, direction = 1, results = NULL, ...)
```

## **Arguments**

x output from smoothDirect for the smoothed direct estimates,	or smoothCluster
---	------------------

for the cluster-level estimates.

nsim number of posterior draws to take. Only used for cluster-level models when

draws is NULL. Otherwise the posterior draws in draws will be used instead

without resampling.

draws Output of getSmoothed with save.draws set to TRUE. This argument allows

the previously sampled draws (by setting save.draws to be TRUE) be used in

new aggregation tasks. This argument is only used for cluster-level models.

Amat adjacency matrix

year\_plot A vector indicate which years to plot

strata\_plot Name of the strata to plot. If not specified, the overall is plotted.

by . year logical indicator for whether the output uses years as facets.

ncol number of columns in the output figure.

scale numerical value controlling the height of the density plots.

per1000 logical indicator to multiply results by 1000.

order order of regions when by year is set to TRUE. Negative values indicate regions

are ordered from high to low posterior medians from top to bottom. Positive

values indicate from low to high. 0 indicate alphabetic orders.

direction Direction of the color scheme. It can be either 1 (smaller values are darker) or

-1 (higher values are darker). Default is set to 1.

results output from ridgePlot. This argument can be specified to avoid calculating

densities again when only the visualization changes.

... additional configurations passed to inla.posterior.sample.

#### Value

a data frame of the calculated densities and a ggplot figure.

#### Author(s)

Zehang Richard Li

### See Also

```
plot.SUMMERproj
```

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```
## Not run:
years <- levels(DemoData[[1]]$time)</pre>
data <- getDirectList(births = DemoData,</pre>
years = years,
regionVar = "region", timeVar = "time",
clusterVar = "~clustid+id",
ageVar = "age", weightsVar = "weights",
geo.recode = NULL)
# obtain direct estimates
data_multi <- getDirectList(births = DemoData, years = years,</pre>
  regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
  ageVar = "age", weightsVar = "weights", geo.recode = NULL)
data <- aggregateSurvey(data_multi)</pre>
# national model
years.all <- c(years, "15-19")</pre>
fit1 <- smoothDirect(data = data, geo = NULL, Amat = NULL,
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=FALSE, m = 5)
## Plot marginal posterior densities over time
density <- ridgePlot(fit1, year_plot = years.all,</pre>
ncol = 4, by.year = FALSE)
density$g
# subnational model
fit2 <- smoothDirect(data = data, geo = geo, Amat = mat,
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=TRUE, m = 5, type.st = 1)
# Plot marginal posterior densities over time (regions are ordered alphabetically)
density <- ridgePlot(fit2, Amat = mat, year_plot = years.all, ncol = 4)</pre>
density$g
# Re-order the regions
density <- ridgePlot(fit2, Amat = mat, year_plot = years.all,</pre>
ncol = 4, per1000 = TRUE, order = -1)
density$g
# Show each region (instead of each year) in a panel
## Instead of recalculate the posteriors, we can use previously calculated densities as input
density <- ridgePlot(results = density, year_plot = years.all,</pre>
ncol = 4, by.year=FALSE, per1000 = TRUE)
density$g
# Show more years
density <- ridgePlot(results = density, year_plot = c(1990:2019),</pre>
ncol = 4, by.year=FALSE, per1000 = TRUE)
density$g
```

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```
## End(Not run)
```

rst

Simulate spatial and temporal random effects

## **Description**

This function simulates spatial and temporal random effects with mean zero. The method is described in Algorithm 3.1 of Rue & Held 2015.

## Usage

```
rst(n = 1, type = c("s", "t", "st")[1], type.s = "ICAR",
   type.t = c("RW1", "RW2")[2], Amat = NULL, n.t = NULL,
   scale.model = TRUE)
```

## **Arguments**

n	sample size
type	type of random effects: temporal (t), spatial (s), or spatial-temporal (st)
type.s	type of spatial random effect, currently only ICAR is available
type.t	type of temporal random effect, currently only RW1 and RW2 are available
Amat	adjacency matrix for the spatial regions
n.t	number of time points for the temporal random effect
scale.model	logical indicator of whether to scale the random effects to have unit generalized variance. See Sørbye 2013 for more details

#### Value

a matrix (for spatial or temporal) or a three-dimensional array (for spatial-temporal) of the random effects.

## Author(s)

Zehang Richard Li

#### References

Rue, H., & Held, L. (2005). *Gaussian Markov random fields: theory and applications*. CRC press. Sørbye, S. H. (2013). *Tutorial: Scaling IGMRF-models in R-INLA*. Department of Mathematics and Statistics, University of Tromsø.

34 rw.new

## **Examples**

```
## Not run:
data(DemoMap)
## Spatial random effects
out <- rst(n=10000, type = "s", Amat = DemoMap$Amat)</pre>
# To verify the mean under the conditional specification
mean(out[,1] - apply(out[,c(2,3,4)], 1, mean))
mean(out[,2] - apply(out[,c(1,3)], 1, mean))
mean(out[,3] - apply(out[,c(1,2,4)], 1, mean))
mean(out[,4] - apply(out[,c(1,3)], 1, mean))
## Temporal random effects (RW1)
out <- rst(n=1, type = "t", type.t = "RW1", n.t = 200, scale.model = FALSE)
par(mfrow = c(1,2))
plot(1:dim(out)[2], out, col = 1, type = "1", xlab = "Time", ylab = "Random effects")
# verify the first order difference is normally distributed
first_diff <- diff(as.numeric(out[1,]))</pre>
qqnorm(first_diff )
abline(c(0,1))
## Temporal random effects (RW2)
out <- rst(n=1, type = "t", type.t = "RW2", n.t = 200, scale.model = FALSE)
par(mfrow = c(1,2))
plot(1:dim(out)[2], out, col = 1, type = "1", xlab = "Time", ylab = "Random effects")
# verify the second order difference is normally distributed
first_diff <- diff(as.numeric(out[1,]))</pre>
second_diff <- diff(first_diff)</pre>
ggnorm(second_diff)
abline(c(0,1))
## Spatial-temporal random effects
out <- rst(n=1, type = "st", type.t = "RW2", Amat = DemoMap$Amat, n.t = 50)
dimnames(out)
par(mfrow = c(1,1))
plot(1:dim(out)[3], out[1,1,], col = 1,
 type = "1", ylim = range(out), xlab = "Time", ylab = "Random effects")
for(i in 2:4) lines(1:dim(out)[3], out[1,i,], col = i)
legend("bottomright", colnames(DemoMap\$Amat), col = c(1:4), lty = rep(1,4))
## End(Not run)
```

rw.new

New random walk 1 and 2 models for m-year to period random effects

## Description

New random walk 1 and 2 models for m-year to period random effects

rw.new.pc 35

#### Usage

```
rw.new(cmd = c("graph", "Q", "mu", "initial", "log.norm.const",
    "log.prior", "quit"), theta = NULL)
```

#### **Arguments**

cmd list of model components

theta log precision

rw.new.pc

New random walk 1 and 2 models for m-year to period random effects

#### **Description**

New random walk 1 and 2 models for m-year to period random effects

### Usage

```
rw.new.pc(cmd = c("graph", "Q", "mu", "initial", "log.norm.const",
    "log.prior", "quit"), theta = NULL)
```

## **Arguments**

cmd list of model components

theta log precision

simhyper

Simulate hyperpriors from an GMRF

#### **Description**

Simulate hyperpriors from an GMRF

## Usage

```
simhyper(R = 2, nsamp = 1e+05, nsamp.check = 5000, Amat,
    nperiod = 6, only.iid = TRUE)
```

#### **Arguments**

R Desired prior odds ratio. Default to 2, i.e., a 95% prior interval for the residual

odds ratios lies in the interval (R, 1/R).

nsamp Sample to simulate for scaling factor
nsamp.check Sample to simulate for checking range
Amat Adjacency matrix of the areas in the data.

nperiod numerical value of how many time periods in the data

only.iid Indicator for whether or not only IID hyperpriors are simulated

36 smoothCluster

#### Author(s)

Zehang Richard Li, Laina Mercer

#### References

Wakefield, J. Multi-level modelling, the ecologic fallacy, and hybrid study designs. *International Journal of Epidemiology*, 2009, vol. 38 (pg. 330-336).

#### **Examples**

```
## Not run:
data(DemoMap)
mat <- DemoMap$Amat
priors <- simhyper(R = 2, nsamp = 1e+05, nsamp.check = 5000, Amat = mat)
## End(Not run)</pre>
```

smoothCluster

Cluster-level space-time smoothing models for mortality rates

#### **Description**

The function smoothCluster replace the previous function name fitINLA2 (before version 1.0.0).

## Usage

```
smoothCluster(data, family = c("betabinomial", "binomial")[1],
 age.groups = c("0", "1-11", "12-23", "24-35", "36-47", "48-59"),
 age.n = c(1, 11, 12, 12, 12, 12), age.rw.group = c(1, 2, 3, 3, 3),
 time.model = c("rw1", "rw2", "ar1")[2], st.time.model = NULL, Amat,
 bias.adj = NULL, bias.adj.by = NULL, formula = NULL, year_label,
 type.st = 4, survey.effect = FALSE, strata.time.effect = FALSE,
 hyper = c("pc", "gamma")[1], pc.u = 1, pc.alpha = 0.01,
 pc.u.phi = 0.5, pc.alpha.phi = 2/3, pc.u.cor = 0.7,
 pc.alpha.cor = 0.9, pc.st.u = NA, pc.st.alpha = NA,
 pc.st.slope.u = NA, pc.st.slope.alpha = NA, overdisp.mean = 0,
 overdisp.prec = 0.4, options = list(config = TRUE),
 control.inla = list(strategy = "adaptive", int.strategy = "auto"),
 verbose = FALSE, geo = NULL, rw = NULL, ar = NULL,
 st.rw = NULL, ...)
fitINLA2(data, family = c("betabinomial", "binomial")[1],
 age.groups = c("0", "1-11", "12-23", "24-35", "36-47", "48-59"),
 age.n = c(1, 11, 12, 12, 12, 12), age.rw.group = c(1, 2, 3, 3, 3, 3),
 time.model = c("rw1", "rw2", "ar1")[2], st.time.model = NULL, Amat,
 bias.adj = NULL, bias.adj.by = NULL, formula = NULL, year_label,
 type.st = 4, survey.effect = FALSE, strata.time.effect = FALSE,
```

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```
hyper = c("pc", "gamma")[1], pc.u = 1, pc.alpha = 0.01,
pc.u.phi = 0.5, pc.alpha.phi = 2/3, pc.u.cor = 0.7,
pc.alpha.cor = 0.9, pc.st.u = NA, pc.st.alpha = NA,
pc.st.slope.u = NA, pc.st.slope.alpha = NA, overdisp.mean = 0,
overdisp.prec = 0.4, options = list(config = TRUE),
control.inla = list(strategy = "adaptive", int.strategy = "auto"),
verbose = FALSE, geo = NULL, rw = NULL, ar = NULL,
st.rw = NULL, ...)
```

#### **Arguments**

data count data of person-months with the following columns

cluster: cluster ID years: time period

• region: region of the cluster

• strata: stratum of the cluster

• age: age group corresponding to the row

 total: total number of person-month in this age group, stratum, cluster, and period

• Y: total number of deaths in this age group, stratum, cluster, and period

family family of the model. This can be either binomial (with logistic normal prior),

betabiniomial.

age.groups a character vector of age groups in increasing order.

age.n number of months in each age groups in the same order.

age.rw.group vector indicating grouping of the ages groups. For example, if each age group is

assigned a different random walk component, then set age.rw.group to c(1:length(age.groups));

if all age groups share the same random walk component, then set age.rw.group to a rep(1, length(age.groups)). The default for 6 age groups is c(1,2,3,3,3,3), which assigns a separate random walk to the first two groups and a common random walk for the rest of the age groups. The vector should contain values

starting from 1.

time.model Model for the main temporal trend, can be rw1, rw2, or ar1. ar1 is not imple-

mented for yearly model with period data input. Default to be rw2. For ar1 main effect, a linear slope is also added with time scaled to be between -0.5 to 0.5, i.e., the slope coefficient represents the total change between the first year and

the last year in the projection period on the logit scale.

st.time.model Temporal component model for the interaction term, can be rw1, rw2, or ar1.

ar1 is not implemented for yearly model with period data input. Default to be the same as time.model unless specified otherwise. For ar1 interaction model, region-specific random slopes can be added by specifying pc.st.slope.u and

pc.st.slope.alpha.

Amat Adjacency matrix for the regions

bias.adj the ratio of unadjusted mortality rates or age-group-specific hazards to the true

rates or hazards. It needs to be a data frame that can be merged to thee outcome, i.e., with the same column names for time periods (for national adjustment), or

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time periods and region (for subnational adjustment). The column specifying the adjustment ratio should be named "ratio".

bias.adj.by vector of the column names specifying how to merge the bias adjustment to the

count data. For example, if bias adjustment factor is provided in bias.adj for

each region and time, then bias.adj.by should be 'c("region", "time")'.

formula INLA formula. See vignette for example of using customized formula.

year\_label string vector of year names type.st type for space-time interaction

survey.effect logical indicator whether to include a survey iid random effect. If this is set to

TRUE, there needs to be a column named 'survey' in the input data frame. In

prediction, this random effect term will be set to 0.

strata.time.effect

logical indicator whether to include strata specific temporal trends.

hyper which hyperpriors to use. Default to be using the PC prior ("pc").

pc.u hyperparameter U for the PC prior on precisions.
pc.alpha hyperparameter alpha for the PC prior on precisions.

pc.u.phi hyperparameter U for the PC prior on the mixture probability phi in BYM2

model.

pc.alpha.phi hyperparameter alpha for the PC prior on the mixture probability phi in BYM2

model.

pc.u.cor hyperparameter U for the PC prior on the autocorrelation parameter in the AR

prior, i.e. Prob(cor > pc.u.cor) = pc.alpha.cor.

pc.alpha.cor hyperparameter alpha for the PC prior on the autocorrelation parameter in the

AR prior.

pc.st.u hyperparameter U for the PC prior on precisions for the interaction term.

pc.st.alpha hyperparameter alpha for the PC prior on precisions for the interaction term.

pc.st.slope.u hyperparameter U for the PC prior on precisions for the area-level random slope.

If both pc.st.slope.u and pc.st.slope.alpha are not NA, an area-level random slope with iid prior will be added to the model. The parameterization of the random slope is so that Prob(|beta| > pc.st.slope.u) = pc.st.slope.alpha, where time covariate is rescaled to be -0.5 to 0.5, so that the random slope can be interpreted as the total deviation from the main trend from the first year to the last year to

be projected, on the logit scale.

pc.st.slope.alpha

hyperparameter alpha for the PC prior on precisions for the area-level random

slope. See above for the parameterization.

overdisp.mean hyperparameter for the betabinomial likelihood. Mean of the over-dispersion

parameter on the logit scale.

overdisp.prec hyperparameter for the betabinomial likelihood. Precision of the over-dispersion

parameter on the logit scale.

options list of options to be passed to control.compute() in the inla() function.

control.inla list of options to be passed to control.inla() in the inla() function. Default to the

"adaptive" integration strategy.

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verbose	logical indicator to print out detailed inla() intermediate steps.
geo	Deprecated. Spatial polygon file, legacy parameter from previous versions of the package.
rw	Deprecated. Take values 0, 1 or 2, indicating the order of random walk. If rw = 0, the autoregressive process is used instead of the random walk in the main trend. See the description of the argument ar for details.
ar	Deprecated. Order of the autoregressive component. If ar is specified to be positive integer, the random walk components will be replaced by $AR(p)$ terms in the interaction part. The main temporal trend remains to be random walk of order rw unless $rw = 0$ .
st.rw	Deprecated. Take values 1 or 2, indicating the order of random walk for the interaction term. If not specified, it will take the same order as the argument rw in the main effect. Notice that this argument is only used if ar is set to 0.
	arguments to be passed to the inla() function call.

## Value

INLA model fit using the provided formula, country summary data, and geographic data

## Author(s)

Zehang Richard Li

# See Also

```
getDirect
```

# **Examples**

```
## Not run:
library(dplyr)
data(DemoData)
# Create dataset of counts
counts.all <- NULL</pre>
for(i in 1:length(DemoData)){
 variables = 'died', by = c("age", "clustid", "region",
                                       "time", "strata"))
 counts <- counts %>% mutate(cluster = clustid, years = time, Y=died)
 counts$strata <- gsub(".*\\.","",counts$strata)</pre>
 counts$survey <- names(DemoData)[i]</pre>
 counts.all <- rbind(counts.all, counts)</pre>
}
# fit cluster-level model on the periods
periods <- levels(DemoData[[1]]$time)</pre>
fit <- smoothCluster(data = counts.all,</pre>
     Amat = DemoMap$Amat,
     time.model = "rw2",
```

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```
st.time.model = "rw1",
    strata.time.effect = TRUE,
    survey.effect = TRUE,
    family = "betabinomial",
        year_label = c(periods, "15-19"))
est <- getSmoothed(fit, nsim = 1000)
plot(est$stratified, plot.CI=TRUE) + ggplot2::facet_wrap(~strata)
## End(Not run)</pre>
```

smoothDirect

Smoothed direct estimates for mortality rates

# **Description**

The function smoothDirect replaces the previous function name fitINLA (before version 1.0.0).

#### **Usage**

```
smoothDirect(data, Amat, X = NULL, formula = NULL,
 time.model = c("rw1", "rw2", "ar1")[2], st.time.model = NULL,
 year_label, year_range = c(1980, 2014), is.yearly = TRUE, m = 5,
 type.st = 1, survey.effect = FALSE, hyper = c("pc", "gamma")[1],
 pc.u = 1, pc.alpha = 0.01, pc.u.phi = 0.5, pc.alpha.phi = 2/3,
 pc.u.cor = 0.7, pc.alpha.cor = 0.9, pc.st.u = NA,
 pc.st.alpha = NA, options = list(dic = TRUE, mlik = TRUE, cpo = TRUE,
 openmp.strategy = "default"), control.inla = list(strategy =
 "adaptive", int.strategy = "auto"), verbose = FALSE, geo = NULL,
 rw = NULL, ar = NULL)
fitINLA(data, Amat, X = NULL, formula = NULL, time.model = c("rw1",
  "rw2", "ar1")[2], st.time.model = NULL, year_label,
 year_range = c(1980, 2014), is.yearly = TRUE, m = 5, type.st = 1,
 survey.effect = FALSE, hyper = c("pc", "gamma")[1], pc.u = 1,
 pc.alpha = 0.01, pc.u.phi = 0.5, pc.alpha.phi = 2/3,
 pc.u.cor = 0.7, pc.alpha.cor = 0.9, pc.st.u = NA,
 pc.st.alpha = NA, options = list(dic = TRUE, mlik = TRUE, cpo = TRUE,
 openmp.strategy = "default"), control.inla = list(strategy =
 "adaptive", int.strategy = "auto"), verbose = FALSE, geo = NULL,
 rw = NULL, ar = NULL)
```

#### **Arguments**

data Combined dataset

Amat Adjacency matrix for the regions

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Χ Covariate matrix. It must contain either a column with name "region", or a column with name "years", or both. The covariates must not have missing values for all regions (if varying in space) and all time periods (if varying in time). The rest of the columns are treated as covariates in the mean model. formula INLA formula. See vignette for example of using customized formula. time.model Model for the main temporal trend, can be rw1, rw2, or ar1. ar1 is not implemented for yearly model with period data input. Default to be rw2. For ar1 main effect, a linear slope is also added with time scaled to be between -0.5 to 0.5, i.e., the slope coefficient represents the total change between the first year and the last year in the projection period on the logit scale. Temporal component model for the interaction term, can be rw1, rw2, or ar1. st.time.model arl is not implemented for yearly model with period data input. Default to be the same as time.model unless specified otherwise. For ar1 interaction model, region-specific random slopes can be added by specifying pc.st.slope.u and pc.st.slope.alpha. year\_label string vector of year names Entire range of the years (inclusive) defined in year label. year\_range is.yearly Logical indicator for fitting yearly or period model. Number of years in each period. type.st type for space-time interaction survey.effect logical indicator whether to include a survey iid random effect. If this is set to TRUE, there needs to be a column named 'survey' in the input data frame. In prediction, this random effect term will be set to 0. hyper which hyperpriors to use. Default to be using the PC prior ("pc"). hyperparameter U for the PC prior on precisions. pc.u pc.alpha hyperparameter alpha for the PC prior on precisions. pc.u.phi hyperparameter U for the PC prior on the mixture probability phi in BYM2 model. pc.alpha.phi hyperparameter alpha for the PC prior on the mixture probability phi in BYM2 model. hyperparameter U for the PC prior on the autocorrelation parameter in the AR pc.u.cor prior, i.e. Prob(cor > pc.u.cor) = pc.alpha.cor. pc.alpha.cor hyperparameter alpha for the PC prior on the autocorrelation parameter in the AR prior. hyperparameter U for the PC prior on precisions for the interaction term. pc.st.u pc.st.alpha hyperparameter alpha for the PC prior on precisions for the interaction term. list of options to be passed to control.compute() in the inla() function. options list of options to be passed to control.inla() in the inla() function. Default to the control.inla "adaptive" integration strategy. logical indicator to print out detailed inla() intermediate steps. verbose geo Deprecated.

rw

ar

Deprecated.

Deprecated.

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#### Value

List of fitted object

#### Author(s)

Zehang Richard Li

#### References

Li, Z., Hsiao, Y., Godwin, J., Martin, B. D., Wakefield, J., Clark, S. J., & with support from the United Nations Inter-agency Group for Child Mortality Estimation and its technical advisory group. (2019). Changes in the spatial distribution of the under-five mortality rate: Small-area analysis of 122 DHS surveys in 262 subregions of 35 countries in Africa. PloS one, 14(1), e0210645.

Mercer, L. D., Wakefield, J., Pantazis, A., Lutambi, A. M., Masanja, H., & Clark, S. (2015). *Spacetime smoothing of complex survey data: small area estimation for child mortality.* The annals of applied statistics, 9(4), 1889.

#### See Also

```
getDirect
```

#### **Examples**

```
years <- levels(DemoData[[1]]$time)</pre>
# obtain direct estimates
data_multi <- getDirectList(births = DemoData, years = years,</pre>
  regionVar = "region", timeVar = "time", clusterVar = "~clustid+id",
  ageVar = "age", weightsVar = "weights", geo.recode = NULL)
data <- aggregateSurvey(data_multi)</pre>
# national model
years.all <- c(years, "15-19")</pre>
fit1 <- smoothDirect(data = data, Amat = NULL,</pre>
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=FALSE, m = 5)
out1 <- getSmoothed(fit1)</pre>
plot(out1, is.subnational=FALSE)
# subnational model
fit2 <- smoothDirect(data = data, Amat = DemoMap$Amat,</pre>
  year_label = years.all, year_range = c(1985, 2019),
  rw = 2, is.yearly=TRUE, m = 5, type.st = 4)
out2 <- getSmoothed(fit2)</pre>
plot(out2, is.subnational=TRUE)
## End(Not run)
```

smoothSurvey 43

smoothSurvey	Fit space-time smoothing models for a generic outcome from complex
	surveys.

## **Description**

This function calculates the direct estimates by region and fit a simple spatial smoothing model to the direct estimates adjusting for survey design. Normal or binary variables are currently supported. For binary variables, the logit transformation is performed on the direct estimates of probabilities, and a Gaussian additive model is fitted on the logit scale using INLA.

# Usage

```
smoothSurvey(data, geo = NULL, Amat, X = NULL,
    responseType = c("binary", "gaussian")[1], responseVar,
    strataVar = "strata", weightVar = "weights", regionVar = "region",
    clusterVar = "~v001+v002", pc.u = 1, pc.alpha = 0.01,
    pc.u.phi = 0.5, pc.alpha.phi = 2/3, CI = 0.95, formula = NULL,
    timeVar = NULL, time.model = c("rw1", "rw2")[1], type.st = 1,
    direct.est = NULL, direct.est.var = NULL, ...)

fitGeneric(data, geo = NULL, Amat, X = NULL,
    responseType = c("binary", "gaussian")[1], responseVar,
    strataVar = "strata", weightVar = "weights", regionVar = "region",
    clusterVar = "~v001+v002", pc.u = 1, pc.alpha = 0.01,
    pc.u.phi = 0.5, pc.alpha.phi = 2/3, CI = 0.95, formula = NULL,
    timeVar = NULL, time.model = c("rw1", "rw2")[1], type.st = 1,
    direct.est = NULL, direct.est.var = NULL, ...)
```

## **Arguments**

data	data frame with region and strata information.
geo	Deprecated argument from early versions.
Amat	Adjacency matrix for the regions.
X	Covariate matrix with the first column being the region names. Currently only supporting static region-level covariates.
responseType	Type of the response variable, currently supports 'binary' (default with logit link function) or 'gaussian'.
responseVar	the response variable
strataVar	the strata variable
weightVar	the weights variable
regionVar	Variable name for region, typically 'v024', for older surveys might be 'v101'
clusterVar	Variable name for cluster, typically '~v001 + v002'
pc.u	hyperparameter U for the PC prior on precisions.

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hyperparameter alpha for the PC prior on precisions. pc.alpha pc.u.phi hyperparameter U for the PC prior on the mixture probability phi in BYM2 model. pc.alpha.phi hyperparameter alpha for the PC prior on the mixture probability phi in BYM2 model. CI the desired posterior credible interval to calculate formula a string of user-specified random effects model to be used in the INLA call timeVar The variable indicating time period. If set to NULL then the temporal model and space-time interaction model are ignored. time.model the model for temporal trends and interactions. It can be either "rw1" or "rw2". can take values 0 (no interaction), or 1 to 4, corresponding to the type I to IV type.st

space-time interaction.

direct.est data frame of direct estimates, with column names of response and region specified by responseVar, regionVar, and timeVar. When direct.est is speci-

fied, it overwrites the data input.

direct.est.var the column name corresponding to the variance of direct estimates

... additional arguments passed to svydesign function.

#### **Details**

The function smoothSurvey replaces the previous function name fitGeneric (before version 1.0.0).

# Value

HT Direct estimates

smooth Smoothed direct estimates

fit a fitted INLA object

CI input argument
Amat input argument
responseType input argument
formula INLA formula

#### Author(s)

Zehang Richard Li

#### See Also

getDirectList, smoothDirect

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## **Examples**

```
## Not run:
data(DemoData2)
data(DemoMap2)
fit0 <- smoothSurvey(data=DemoData2,</pre>
Amat=DemoMap2$Amat, responseType="binary",
responseVar="tobacco.use", strataVar="strata",
weightVar="weights", regionVar="region",
clusterVar = "~clustid+id", CI = 0.95)
# Example with region-level covariates
 Xmat <- aggregate(age~region, data = DemoData2, FUN = mean)</pre>
 fit1 <- smoothSurvey(data=DemoData2,</pre>
  Amat=DemoMap2$Amat, responseType="binary",
  X = Xmat,
  responseVar="tobacco.use", strataVar="strata",
  weightVar="weights", regionVar="region",
  clusterVar = "~clustid+id", CI = 0.95)
# Example with using only direct estimates as input instead of the full data
direct <- fit$HT[, c("region", "HT.est", "HT.var")]</pre>
fit2 <- smoothSurvey(data=NULL, direct.est = direct,</pre>
                     Amat=DemoMap2$Amat, regionVar="region",
                     responseVar="HT.est", direct.est.var = "HT.var",
                    responseType = "binary")
# Check it is the same as fit0
plot(fit2$smooth$mean, fit0$smooth$mean)
# Example with using only direct estimates as input,
   and after transformation into a Gaussian smoothing model
# Notice: the output are on the same scale as the input
   and in this case, the logit estimates.
direct.logit <- fit$HT[, c("region", "HT.logit.est", "HT.logit.var")]</pre>
fit3 <- smoothSurvey(data=NULL, direct.est = direct.logit,</pre>
               Amat=DemoMap2$Amat, regionVar="region",
               responseVar="HT.logit.est", direct.est.var = "HT.logit.var",
               responseType = "gaussian")
# Check it is the same as fit0
plot(fit3$smooth$mean, fit0$smooth$logit.mean)
## End(Not run)
```

st.new

New Type I to IV space time interaction models for m-year to period random effects

## **Description**

New Type I to IV space time interaction models for m-year to period random effects

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## Usage

```
st.new(cmd = c("graph", "Q", "mu", "initial", "log.norm.const",
    "log.prior", "quit"), theta = NULL)
```

# **Arguments**

cmd list of model components

theta log precision

st.new.pc

New Type I to IV space time interaction models for m-year to period random effects

# **Description**

New Type I to IV space time interaction models for m-year to period random effects

# Usage

```
st.new.pc(cmd = c("graph", "Q", "mu", "initial", "log.norm.const",
   "log.prior", "quit"), theta = NULL)
```

## **Arguments**

cmd list of model components

theta log precision

tcpPlot

Discrete-color maps based on the True Classification Probabilities

# Description

Discrete-color maps based on the True Classification Probabilities

# Usage

```
tcpPlot(draws, geo, by.geo = NULL, year_plot = NULL, ncol = 4,
  per1000 = FALSE, thresholds = NULL, intervals = 3,
  size.title = 0.7, legend.label = NULL, border = "gray20",
  size = 0.5)
```

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# **Arguments**

draws	a posterior draw object from getSmoothed
geo	SpatialPolygonsDataFrame object for the map
by.geo	variable name specifying region names in geo
year_plot	vector of year string vector to be plotted.
ncol	number of columns in the output figure.
per1000	logical indicator to multiply results by 1000.
thresholds	a vector of thresholds (on the mortality scale) defining the discrete color scale of the maps.
intervals	number of quantile intervals defining the discrete color scale of the maps. Required when thresholds are not specified.
size.title	a numerical value giving the amount by which the plot title should be magnified relative to the default.
legend.label	Label for the color legend.
border	color of the border
size	size of the border

# Value

a list of True Classification Probability (TCP) tables, a list of individual spplot maps, and a gridded array of all maps.

## Author(s)

Tracy Qi Dong, Zehang Richard Li

## References

Tracy Qi Dong, and Jon Wakefield. (2020) Modeling and presentation of vaccination coverage estimates using data from household surveys. arXiv preprint arXiv:2004.03127.

# **Examples**

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