

# Package ‘FEprovideR’

July 30, 2019

**Title** Fixed Effects Logistic Model with High-Dimensional Parameters

**Version** 1.1

**Description** A structured profile likelihood algorithm for the logistic fixed effects model and an approximate expectation maximization (EM) algorithm for the logistic mixed effects model. Based on He, K., Kalbfleisch, J.D., Li, Y. and Li, Y. (2013) <doi:10.1007/s10985-013-9264-6>.

**License** GPL-2

**Imports** ggplot2, Matrix, poibin

**Encoding** UTF-8

**LazyData** true

**BugReports** <https://github.com/umich-biostatistics/FEprovideR/issues>

**RoxygenNote** 6.1.1

**NeedsCompilation** no

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**Depends** R (>= 3.5.0)

**Repository** CRAN

**Date/Publication** 2019-07-30 13:40:02 UTC

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`confint.fe.prov`      *Compute confidence intervals for fitted model*

## Description

`confint.fe.prov` computes the  $(1-\alpha)\%$  confidence intervals for the fixed effect parameter estimates. Go to Github<sup>1</sup> for a tutorial.

## Usage

```
## S3 method for class 'fe.prov'
confint(object, parm = "all", level = 0.95, data,
        Y.char, Z.char, prov.char, ...)
```

## Arguments

<code>object</code>	fitted model object (fit using <code>fe.prov</code> )
<code>parm</code>	provider IDs for which confidence intervals are desired. The default is "all". Specify a subset of provider effects with a numeric vector of provider IDs. For example, <code>parm=c(1, 20)</code> for providers 1 and 20.
<code>level</code>	confidence level (default is 0.95)
<code>data</code>	prepared <code>data.frame</code> . Use <code>fe.data.prep</code> to prepare the raw data
<code>Y.char</code>	<code>Y.char</code> name of the response variable from <code>data</code> as a character string
<code>Z.char</code>	<code>Z.char</code> names of covariates from <code>data</code> as vector of character strings
<code>prov.char</code>	name of provider IDs variable as a character string
<code>...</code>	extra arguments to be passed to <code>confint</code>

## Value

Returns a `data.frame` of gamma and SRR lower and upper CI bounds. Each row is a parameter, each column gives a different bound.

## References

He, K., Kalbfleisch, J.D., Li, Y. and Li, Y., 2013. Evaluating hospital readmission rates in dialysis facilities; adjusting for hospital effects. *Lifetime data analysis*, 19(4), pp.490-512.

## See Also

`fe.data.prep`, `fe.prov`, `test.fe.prov`, `funnel.SRR`

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<sup>1</sup><https://github.com/umich-biostatistics/FEp provideR>

## Examples

```
# Name input variables and other parameters
# a small positive number specifying stopping
# criterion of Newton-Raphson algorithm
tol <- 1e-5
Y.char <- 'Y'
prov.char <- 'prov.ID'
Z.char <- paste0('z', 1:3)
data(hospital_prepared) # build in data set
fe.ls <- fe.prov(hospital_prepared, Y.char, Z.char, prov.char, tol) # model fitting

# confidence intervals
confint.fe.prov(fe.ls, parm = "all", level = 0.95,
                 hospital_prepared, Y.char, Z.char, prov.char)
```

`fe.data.prep`      *Prepares data for model fitting (fe.prov)*

## Description

`fe.data.prep` prepares the data for model fitting with `fe.prov` by taking the data with missing values imputed. Go to Github<sup>2</sup> for a tutorial.

## Usage

```
fe.data.prep(data, Y.char, Z.char, prov.char, cutoff = 10)
```

## Arguments

<code>data</code>	a <code>data.frame</code> including response, provider ID, and covariates, with missing values imputed
<code>Y.char</code>	name of the response variable from <code>data</code> as a character string
<code>Z.char</code>	names of covariates from <code>data</code> as vector of character strings
<code>prov.char</code>	name of provider IDs variable as a character string
<code>cutoff</code>	cutoff of provider size as an interger, default value is 10

## Value

`data.frame`: a data frame sorted by provider IDs with additional variables 'included', 'no.readm', 'all.readm' and missing values imputed.

## References

He, K., Kalbfleisch, J.D., Li, Y. and Li, Y., 2013. Evaluating hospital readmission rates in dialysis facilities; adjusting for hospital effects. Lifetime data analysis, 19(4), pp.490-512.

<sup>2</sup><https://github.com/umich-biostatistics/FEp provideR>

**See Also**

`fe.prov, test.fe.prov, funnel.SRR, confint.fe.prov`

**Examples**

```
data(hospital) # build in data set
# Name input variables and other parameters
cutoff <- 10           # an integer as cutoff of facility (or provider) size with 10 as default
alpha <- 0.05          # significance level
Y.char <- 'Y'
prov.char <- 'prov.ID'
Z.char <- paste0('z', 1:3)

hospital_prepared <- fe.data.prep(hospital, Y.char, Z.char, prov.char, cutoff) # data prepared
```

`fe.prov`

*Fit logistic fixed-effect model with high-dimensional predictors*

**Description**

`fe.prov` fits a fixed-effect logistic model using structured profile likelihood algorithm. Standardized readmission ratios (SRRs) are also computed. Go to Github<sup>3</sup> for a tutorial.

**Usage**

```
fe.prov(data, Y.char, Z.char, prov.char, tol = 1e-05, null = "median")
```

**Arguments**

<code>data</code>	prepared <code>data.frame</code> . Use <code>fe.data.prep</code> to prepare the raw data
<code>Y.char</code>	name of the response variable from <code>data</code> as a character string
<code>Z.char</code>	names of covariates from <code>data</code> as vector of character strings
<code>prov.char</code>	name of provider IDs variable as a character string
<code>tol</code>	tolerance level for convergence. Default is <code>1e-5</code>
<code>null</code>	use median for null comparison

**Value**

An object of class `fe.prov`, which is just a `List` object with the following named elements:

- `beta`: a vector of fixed effect estimates
- `Obs`: a vector of responses for included providers
- `Exp`: a vector of expected probabilities of readmission within 30 days of discharge

<sup>3</sup><https://github.com/umich-biostatistics/FEpredictR>

- **iter**: number of iterations needed for convergence
- **beta.max.diff**: value of the stopping criterion
- **df.prov**:

**df.prov** is a `data.frame` of provider-level information with the following items:

- **Obs**: provider-level observed number of readmissions within 30 days
- **Exp**: expected number of readmissions within 30 days
- **SRR**: standardized readmission ratios for each hospital
- **gamma**: a vector of provider effect estimates for included hospitals

## References

He, K., Kalbfleisch, J.D., Li, Y. and Li, Y., 2013. Evaluating hospital readmission rates in dialysis facilities; adjusting for hospital effects. *Lifetime data analysis*, 19(4), pp.490-512.

## See Also

`fe.data.prep`, `test.fe.prov`, `funnel.SRR`, `confint.fe.prov`

## Examples

```
# Name input variables and other parameters
# a small positive number specifying stopping
# criterion of Newton-Raphson algorithm
tol <- 1e-5
Y.char <- 'Y'
prov.char <- 'prov.ID'
Z.char <- paste0('z', 1:3)
data(hospital_prepared) # build in data set
fe.ls <- fe.prov(hospital_prepared, Y.char, Z.char, prov.char, tol) # model fitting
```

funnel.SRR

*Funnel plot for SRR (standardized readmission ratios)*

## Description

`funnel.SRR` produces and returns funnel plots for the analysis using discharge-specific and patient-specific inputs with provider ID. Go to Github<sup>4</sup> for a tutorial.

## Usage

```
funnel.SRR(input.dis, input.prov, target = 1, alphas = c(0.1, 0.05,
0.01), type = "FE.score", sigma.b = NULL)
```

<sup>4</sup><https://github.com/umich-biostatistics/FEprovideR>

## Arguments

<code>input.dis</code>	a <code>data.frame</code> consisting of discharge-specific inputs and provider ID
<code>input.prov</code>	a <code>data.frame</code> consisting of provider-specific inputs and provider ID
<code>target</code>	target standardized readmission ratio (SRR)
<code>alphas</code>	numeric vector of alpha levels of interest
<code>type</code>	string of length one containing the type of test performed. Currently options include "score", "exact", "FE.score", "FE.exact", "FERE.score", "FERE.exact"
<code>sigma.b</code>	sigma for random effects. Should only have value other than null if prefix "FERE." specified in <code>type=</code> argument

## Value

Returns a `ggplot` object. Unless stored in a new object, will be printed automatically.

## References

He, K., Kalbfleisch, J.D., Li, Y. and Li, Y., 2013. Evaluating hospital readmission rates in dialysis facilities; adjusting for hospital effects. *Lifetime data analysis*, 19(4), pp.490-512.

## See Also

`fe.data.prep`, `fe.prov`, `test.fe.prov`, `confint.fe.prov`, `ggplot2`

## Examples

```
# Name input variables and other parameters
# a small positive number specifying stopping
# criterion of Newton-Raphson algorithm
tol <- 1e-5
Y.char <- 'Y'
prov.char <- 'prov.ID'
Z.char <- paste0('z', 1:3)
data(hospital_prepared) # build in data set
fe.ls <- fe.prov(hospital_prepared, Y.char, Z.char, prov.char, tol) # model fitting

# Hypothesis tests
null = "median"
alpha <- 0.05 # significance level
score.fe <- test.fe.prov(hospital_prepared, fe.ls, Y.char,
                           Z.char, prov.char, test="score", null, alpha)

# format input data for funnel plot
input.dis <- data.frame(ID=hospital_prepared[hospital_prepared$included==1, prov.char],
                           prob=fe.ls$Exp)
input.prov <- data.frame(SRR=fe.ls$df.prov$SRR, flag=score.fe$flag)

# render funnel plot
target <- c(1)
```

```
alphas = c(0.1, 0.05, 0.01)
funnel.SRR(input.dis, input.prov, target, alphas, type="FE.score")
```

---

hospital

*Simulated readmissions data for 500 hospitals*

---

## Description

A data set containing simulated readmissions data for 500 hospitals with three continuous covariates. This data needs to be processed with `fe.data.prep`.

## Usage

```
hospital
```

## Format

A `data.frame` with 24438 rows and 5 variables (columns):

- Y** Indicator for readmission; 1=Yes, 0=No; numeric
- prov.ID** Provider ID; numeric
- z1** Simulated covariate 1, numeric
- z2** Simulated covariate 2, numeric
- z3** Simulated covariate 3, numeric

---

hospital\_prepared *Prepared version of simulated readmissions data for 500 hospitals*

---

## Description

A data set containing simulated and processed readmissions data for 500 hospitals with three continuous covariates. This is the form of the data needed to use `fe.prov`.

## Usage

```
hospital_prepared
```

## Format

A data.frame with 24438 rows and 8 variables (columns):

**Y** Indicator for readmission; 1=Yes, 0=No; numeric  
**prov.ID** Provider ID; numeric  
**z1** Simulated covariate 1, numeric  
**z2** Simulated covariate 2, numeric  
**z3** Simulated covariate 3, numeric  
**included** variable 'included' as an indicator  
**no.readm** providers with no readmission within 30 days  
**all.readm** providers with all readmissions within 30 days

*test.fe.prov*

*Hypothesis tests for fe.prov model object*

## Description

*test.fe.prov* Conducts hypothesis tests for model parameter estimates. First fit a *fe.prov* model object. Go to Github<sup>5</sup> for a tutorial.

## Usage

```
test.fe.prov(data, fe.ls, Y.char, Z.char, prov.char, test = "score",
             null = "median", alpha = 0.05, n = 10000)
```

## Arguments

<i>data</i>	prepared data.frame. Use <i>fe.data.prep</i>
<i>fe.ls</i>	fitted model object (fit using <i>fe.prov</i> )
<i>Y.char</i>	Y.char name of the response variable from <i>data</i> as a character string
<i>Z.char</i>	Z.char names of covariates from <i>data</i> as vector of character strings
<i>prov.char</i>	name of provider IDs variable as a character string
<i>test</i>	string denoting hypothesis test to be conducted. Currently, options include "exact.binom", "exact.poisbinom", "exact.bootstrap", "score". The default is <i>test="score"</i>
<i>null</i>	use median for null comparison
<i>alpha</i>	alpha level for the CIs
<i>n</i>	number of bootstrap draws

<sup>5</sup><https://github.com/umich-biostatistics/FEprovideR>

## Value

Returns a data.frame of the results of the test for each provider with attributes:

- flag: Either "1" for  $p < \alpha/2$ , "0"  $p \leq 1 - \alpha/2$  and  $p < \alpha/2$ , or "-1" for neither
  - p: p-value for the hypothesis test of the model parameter

## References

He, K., Kalbfleisch, J.D., Li, Y. and Li, Y., 2013. Evaluating hospital readmission rates in dialysis facilities; adjusting for hospital effects. *Lifetime data analysis*, 19(4), pp.490-512.

#### **See Also**

fe.data.prep, fe.prov, funnel.SRR, confint.fe.prov

## Examples

```

# Name input variables and other parameters
# a small positive number specifying stopping
# criterion of Newton-Raphson algorithm
tol <- 1e-5
Y.char <- 'Y'
prov.char <- 'prov.ID'
Z.char <- paste0('z', 1:3)
data(hospital_prepared) # build in data set
fe.ls <- fe.prov(hospital_prepared, Y.char, Z.char, prov.char, tol) # model fitting

# Hypothesis tests
null = "median"
alpha = 0.05
score.fe <- test.fe.prov(hospital_prepared, fe.ls, Y.char, Z.char,
                           prov.char, test="score", null, alpha)

```